

Smallwood CV

4

analyst. Field work began 1 April 2012 and ended 30 March 2015 without Ogin installing its MEWTs, but we still achieved multiple important scientific advances.

Reduce avian mortality due to wind turbines at Altamont Pass. Studied wildlife impacts caused by 5,400 wind turbines at the world's most notorious wind resource area. Studied how impacts are perceived by monitoring and how they are affected by terrain, wind patterns, food resources, range management practices, wind turbine operations, seasonal patterns, population cycles, infrastructure management such as electric distribution, animal behavior and social interactions.

Reduce avian mortality on electric distribution poles. Directed research toward reducing bird electrocutions on electric distribution poles, 2000-2007. Oversaw 5 founts of fatality searches at 10,000 poles from Orange County to Glenn County, California, and produced two large reports.

Cook et al. v. Rockwell International et al., No. 90-K-181 (D. Colorado). Provided expert testimony on the role of burrowing animals in affecting the fate of buried and surface-deposited radioactive and hazardous chemical wastes at the Rocky Flats Plant, Colorado. Provided expert reports based on four site visits and an extensive document review of burrowing animals. Conducted transect surveys for evidence of burrowing animals and other wildlife on and around waste facilities. Discovered substantial intrusion of waste structures by burrowing animals. I testified in federal court in November 2005, and my clients were subsequently awarded a \$553,000,000 judgment by a jury. After appeals the award was increased to two billion dollars.

Hanford Nuclear Reservation Litigation. Provided expert testimony on the role of burrowing animals in affecting the fate of buried radioactive wastes at the Hanford Nuclear Reservation, Washington. Provided three expert reports based on three site visits and extensive document review. Predicted and verified a certain population density of pocket gophers on buried waste structures, as well as incidence of radionuclide contamination in body tissue. Conducted transect surveys for evidence of burrowing animals and other wildlife on and around waste facilities. Discovered substantial intrusion of waste structures by burrowing animals.

Expert testimony and declarations on proposed residential and commercial developments, gas-fired power plants, wind, solar and geothermal projects, water transfers and water transfer delivery systems, endangered species recovery plans, Habitat Conservation Plans and Natural Communities Conservation Programs. Testified before multiple government agencies, Tribunals, Boards of Supervisors and City Councils, and participated with press conferences and depositions. Prepared expert witness reports and court declarations, which are summarized under Reports (below).

Protocol-level surveys for special-status species. Used California Department of Fish and Wildlife and US Fish and Wildlife Service protocols to search for California red-legged frog, California tiger salamander, arroyo southwestern toad, blunt-nosed leopard lizard, western pond turtle, giant kangaroo rat, San Joaquin kangaroo rat, San Joaquin kit fox, western burrowing owl, Swainson's hawk, Valley elderberry longhorn beetle and other special-status species.

Conservation of San Joaquin kangaroo rat. Performed research to identify factors responsible for the decline of this endangered species at Lemoore Naval Air Station, 2000-2013, and implemented habitat enhancements designed to reverse the trend and expand the population.

Smallwood CV

5

Impact of West Nile Virus on yellow-billed magpies. Funded by Sacramento-Yolo Mosquito and Vector Control District, 2005-2008, compared survey results pre- and post-West Nile Virus epidemic for multiple bird species in the Sacramento Valley, particularly on yellow-billed magpie and American crow due to susceptibility to WNV.

Workshops on HCPs. Assisted Dr. Michael Morrison with organizing and conducting a 2-day workshop on Habitat Conservation Plans, sponsored by Southern California Edison, and another 1-day workshop sponsored by PG&E. These Workshops were attended by academics, attorneys, and consultants with HCP experience. We guest-edited a Proceedings published in Environmental Management.

Mapping of biological resources along Highways 101, 46 and 41. Used GPS and GIS to delineate vegetation complexes and locations of special-status species along 26 miles of highway in San Luis Obispo County, 14 miles of highway and roadway in Monterey County, and in a large area north of Fresno, including within reclaimed gravel mining pits.

GPS mapping and monitoring at restoration sites and at Caltrans mitigation sites. Monitored the success of elderberry shrubs at one location, the success of willows at another location, and the response of wildlife to the succession of vegetation at both sites. Also used GPS to monitor the response of fossorial animals to yellow star-thistle eradication and natural grassland restoration efforts at Bear Valley in Colusa County and at the decommissioned Mather Air Force Base in Sacramento County.

Mercury effects on Red-legged Frog. Assisted Dr. Michael Morrison and US Fish and Wildlife Service in assessing the possible impacts of historical mercury mining on the federally listed California red-legged frog in Santa Clara County. Also measured habitat variables in streams.

Opposition to proposed No Surprises rule. Wrote a white paper and summary letter explaining scientific grounds for opposing the incidental take permit (ITP) rules providing ITP applicants and holders with general assurances they will be free of compliance with the Endangered Species Act once they adhere to the terms of a “properly functioning HCP.” Submitted 188 signatures of scientists and environmental professionals concerned about No Surprises rule US Fish and Wildlife Service, National Marine Fisheries Service, all US Senators.

Natomas Basin Habitat Conservation Plan alternative. Designed narrow channel marsh to increase the likelihood of survival and recovery in the wild of giant garter snake, Swainson’s hawk and Valley Elderberry Longhorn Beetle. The design included replication and interspersions of treatments for experimental testing of critical habitat elements. I provided a report to Northern Territories, Inc.

Assessments of agricultural production system and environmental technology transfer to China. Twice visited China and interviewed scientists, industrialists, agriculturalists, and the Directors of the Chinese Environmental Protection Agency and the Department of Agriculture to assess the need and possible pathways for environmental clean-up technologies and trade opportunities between the US and China.

Yolo County Habitat Conservation Plan. Conducted landscape ecology study of Yolo County to spatially prioritize allocation of mitigation efforts to improve ecosystem functionality within the

Smallwood CV

6

County from the perspective of 29 special-status species of wildlife and plants. Used a hierarchically structured indicators approach to apply principles of landscape and ecosystem ecology, conservation biology, and local values in rating land units. Derived GIS maps to help guide the conservation area design, and then developed implementation strategies.

Mountain lion track count. Developed and conducted a carnivore monitoring program throughout California since 1985. Species counted include mountain lion, bobcat, black bear, coyote, red and gray fox, raccoon, striped skunk, badger, and black-tailed deer. Vegetation and land use are also monitored. Track survey transect was established on dusty, dirt roads within randomly selected quadrats.

Sumatran tiger and other felids. Upon award of Fulbright Research Fellowship, I designed and initiated track counts for seven species of wild cats in Sumatra, including Sumatran tiger, fishing cat, and golden cat. Spent four months on Sumatra and Java in 1988, and learned Bahasa Indonesia, the official Indonesian language.

Wildlife in agriculture. Beginning as post-graduate research, I studied pocket gophers and other wildlife in 40 alfalfa fields throughout the Sacramento Valley, and I surveyed for wildlife along a 200 mile road transect since 1989 with a hiatus of 1996-2004. The data are analyzed using GIS and methods from landscape ecology, and the results published and presented orally to farming groups in California and elsewhere. I also conducted the first study of wildlife in cover crops used on vineyards and orchards.

Agricultural energy use and Tulare County groundwater study. Developed and analyzed a data base of energy use in California agriculture, and collaborated on a landscape (GIS) study of groundwater contamination across Tulare County, California.

Pocket gopher damage in forest clear-cuts. Developed gopher sampling methods and tested various poison baits and baiting regimes in the largest-ever field study of pocket gopher management in forest plantations, involving 68 research plots in 55 clear-cuts among 6 National Forests in northern California.

Risk assessment of exotic species in North America. Developed empirical models of mammal and bird species invasions in North America, as well as a rating system for assigning priority research and control to exotic species in California, based on economic, environmental, and human health hazards.

#### **Peer Reviewed Publications**

Smallwood, K. S. and M. L. Morrison. 2018. Nest-site selection in a high-density colony of burrowing owls. *Journal of Raptor Research* 52:454-470.

Smallwood, K. S., D. A. Bell, E. L. Walther, E. Leyvas, S. Standish, J. Mount, B. Karas. 2018. Estimating wind turbine fatalities using integrated detection trials. *Journal of Wildlife Management* 82:1169-1184.

Smallwood, K. S. 2017. Long search intervals under-estimate bird and bat fatalities caused by

Smallwood CV

7

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- Smallwood, K. S. 2017. The challenges of addressing wildlife impacts when repowering wind energy projects. Pages 175-187 in Köppel, J., Editor, *Wind Energy and Wildlife Impacts: Proceedings from the CWW2015 Conference*. Springer. Cham, Switzerland.
- May, R., Gill, A. B., Köppel, J. Langston, R. H.W., Reichenbach, M., Scheidat, M., Smallwood, S., Voigt, C. C., Hüppop, O., and Portman, M. 2017. Future research directions to reconcile wind turbine-wildlife interactions. Pages 255-276 in Köppel, J., Editor, *Wind Energy and Wildlife Impacts: Proceedings from the CWW2015 Conference*. Springer. Cham, Switzerland.
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- Smallwood, K. S., L. Neher, and D. A. Bell. 2017. Siting to Minimize Raptor Collisions: an example from the Repowering Altamont Pass Wind Resource Area. M. Perrow, Ed., *Wildlife and Wind Farms - Conflicts and Solutions, Volume 2*. Pelagic Publishing, Exeter, United Kingdom. [www.bit.ly/2v3cR9Q](http://www.bit.ly/2v3cR9Q)
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- Mete, A., N. Stephenson, K. Rogers, M. G. Hawkins, M. Sadar, D. Guzman, D. A. Bell, J. Shipman, A. Wells, K. S. Smallwood, and J. Foley. 2014. Emergence of Knemidocoptic mange in wild Golden Eagles (*Aquila chrysaetos*) in California. *Emerging Infectious Diseases* 20(10):1716-1718.
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- Smallwood, K. S. 2013. Comparing bird and bat fatality-rate estimates among North American wind-energy projects. *Wildlife Society Bulletin* 37:19-33. + Online Supplemental Material.
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Smallwood CV

8

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Smallwood CV

9

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Smallwood CV

10

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Smallwood CV

11

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Smallwood CV

12

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- Smallwood, K. S. 2016. Bird and bat impacts and behaviors at old wind turbines at Forebay, Altamont Pass Wind Resource Area. Report CEC-500-2016-066, California Energy Commission Public Interest Energy Research program, Sacramento, California. <http://www.energy.ca.gov/publications/displayOneReport.php?pubNum=CEC-500-2016-066>
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Smallwood CV

13

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#### **Non-Peer Reviewed Publications**

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Smallwood, K. S. 2009. Methods manual for assessing wind farm impacts to birds. Bird Conservation Series 26, Wild Bird Society of Japan, Tokyo. T. Ura, ed., in English with Japanese translation by T. Kurosawa. 90 pp.

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Smallwood CV

14

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23

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24

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27

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30

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### Comments on Environmental Documents

I was retained or commissioned to comment on environmental planning and review documents, including:

- The Villages of Lakeview EIR (2017; 28 pp);
- Notes on Proposed Study Options for Trail Impacts on Northern Spotted Owl (2017; 4 pp);
- San Geronio Crossings EIR (2017; 22 pp);
- Replies to responses on Jupiter Project IS and MND (2017; 12 pp);
- MacArthur Transit Village Project Modified 2016 CEQA Analysis (2017; 12 pp);
- Central SoMa Plan DEIR (2017; 14 pp);
- Colony Commerce Center Specific Plan DEIR (2016; 16 pp);
- Fairway Trails Improvements MND (2016; 13 pp);
- Review of Avian-Solar Science Plan (2016; 28 pp);
- Replies to responses on Initial Study for Pyramid Asphalt (2016; 5 pp);
- Initial Study for Pyramid Asphalt (2016; 4 pp);
- Agua Mansa Distribution Warehouse Project Initial Study (2016; 14 pp);
- Santa Anita Warehouse IS and MND (2016; 12 pp);
- CapRock Distribution Center III DEIR (2016; 12 pp);
- Orange Show Logistics Center Initial Study and MND (2016; 9 pp);
- City of Palmdale Oasis Medical Village Project IS and MND (2016; 7 pp);
- Comments on proposed rule for incidental eagle take (2016, 49 pp);
- Grapevine Specific and Community Plan FEIR (2016; 25 pp);
- Grapevine Specific and Community Plan DEIR (2016; 15 pp);
- Clinton County Zoning Ordinance for Wind Turbine siting (2016);
- Hallmark at Shenandoah Warehouse Project Initial Study (2016; 6 pp);
- Tri-City Industrial Complex Initial Study (2016; 5 pp);
- Hidden Canyon Industrial Park Plot Plan 16-PP-02 (2016; 12 pp);
- Kimball Business Park DEIR (2016; 10 pp);
- Jupiter Project IS and MND (2016; 9 pp);
- Revised Draft Giant Garter Snake Recovery Plan of 2015 (2016, 18 pp);
- Palo Verde Mesa Solar Project Draft Environmental Impact Report (2016; 27 pp);

- Reply Witness Statement on Fairview Wind Project, Ontario, Canada (2016; 14 pp);
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- Supplementary Reply Witness Statement Amherst Island Wind Farm, Ontario (2015, 38 pp);
- Witness Statement on Amherst Island Wind Farm, Ontario (2015, 31 pp);
- Second Reply Witness Statement on White Pines Wind Farm, Ontario (2015, 6 pp);
- Reply Witness Statement on White Pines Wind Farm, Ontario (2015, 10 pp);
- Witness Statement on White Pines Wind Farm, Ontario (2015, 9 pp);
- Proposed Section 24 Specific Plan Agua Caliente Band of Cahuilla Indians DEIS (2015, 9 pp);
- Replies to comments 24 Specific Plan Agua Caliente Band of Cahuilla Indians FEIS (2015, 6 pp);
- Willow Springs Solar Photovoltaic Project DEIR (2015; 28 pp);
- Sierra Lakes Commerce Center Project DEIR (2015, 9 pp);
- Columbia Business Center MND (2015; 8 pp);
- West Valley Logistics Center Specific Plan DEIR (2015, 10 pp);
- World Logistic Center Specific Plan FEIR (2015, 12 pp);
- Bay Delta Conservation Plan EIR/EIS (2014, 21 pp);
- Addison Wind Energy Project DEIR (2014, 32 pp);
- Response to Comments on the Addison Wind Energy Project DEIR (2014, 15 pp);
- Addison and Rising Tree Wind Energy Project FEIR (2014, 12 pp);
- Alta East Wind Energy Project FEIS (2013, 23 pp);
- Blythe Solar Power Project Staff Assessment, California Energy Commission (2013, 16 pp);
- Clearwater and Yakima Solar Projects DEIR (2013, 9 pp);
- Cuyama Solar Project DEIR (2014, 19 pp);
- Draft Desert Renewable Energy Conservation Plan (DRECP) EIR/EIS (2015, 49 pp);
- Kingbird Solar Photovoltaic Project EIR (2013, 19 pp);
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- Palen Solar Electric Generating System Final Staff Assessment of California Energy Commission, (2014, 20 pp);
- Rebuttal testimony on Palen Solar Energy Generating System (2014, 9 pp);
- Rising Tree Wind Energy Project DEIR (2014, 32 pp);
- Response to Comments on the Rising Tree Wind Energy Project DEIR (2014, 15 pp);
- Soitec Solar Development Project Draft PEIR (2014, 18 pp);
- Comment on the Biological Opinion (08ESMF-00-2012-F-0387) of Oakland Zoo expansion on Alameda whipsnake and California red-legged frog (2014; 3 pp);
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- Alameda Creek Bridge Replacement Project DEIR (2015, 10 pp);
- Declaration on Tule Wind project FEIR/FEIS (2013; 24 pp);
- Sunlight Partners LANDPRO Solar Project Mitigated Negative Declaration (2013; 11 pp);
- Declaration in opposition to BLM fracking (2013; 5 pp);
- Rosamond Solar Project Addendum EIR (2013; 13 pp);
- Pioneer Green Solar Project EIR (2013; 13 pp);
- Reply to Staff Responses to Comments on Soccer Center Solar Project Mitigated Negative



Smallwood CV

32

- Declaration (2013; 6 pp);
- Soccer Center Solar Project Mitigated Negative Declaration (2013; 10 pp);
- Plainview Solar Works Mitigated Negative Declaration (2013; 10 pp);
- Reply to the County Staff's Responses on comments to Imperial Valley Solar Company 2 Project (2013; 10 pp);
- Imperial Valley Solar Company 2 Project (2013; 13 pp);
- FRV Orion Solar Project DEIR (PP12232) (2013; 9 pp);
- Casa Diablo IV Geothermal Development Project (2013; 6 pp);
- Reply to Staff Responses to Comments on Casa Diablo IV Geothermal Development Project (2013; 8 pp);
- FEIS prepared for Alta East Wind Project (2013; 23 pp);
- Metropolitan Air Park DEIR, City of San Diego (2013; );
- Davidson Homes Tentative Subdivision Map and Rezoning Project DEIR (2013; 9 pp);
- Analysis of Biological Assessment of Oakland Zoo Expansion Impacts on Alameda Whipsnake (2013; 10 pp);
- Declaration on Campo Verde Solar project FEIR (2013; 11 pp);
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- City of Lancaster Revised Initial Study for Conditional Use Permits 12-08 and 12-09, Summer Solar and Springtime Solar Projects (2012; 8 pp);
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- Desert Harvest Solar Project EIS (2012; 15 pp);
- Solar Gen 2 Array Project DEIR (2012; 16 pp);
- Ocotillo Sol Project EIS (2012; 4 pp);
- Beacon Photovoltaic Project DEIR (2012; 5 pp);
- Declaration on Initial Study and Proposed Negative Declaration for the Butte Water District 2012 Water Transfer Program (2012; 11 pp);
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- City of Elk Grove Sphere of Influence EIR (2011; 28 pp);
- Comment on Sutter Landing Park Solar Photovoltaic Project MND (2011; 9 pp);
- Statement of Shawn Smallwood, Ph.D. Regarding Proposed Rabik/Gudath Project, 22611 Coleman Valley Road, Bodega Bay (CPN 10-0002) (2011; 4 pp);
- Declaration of K. Shawn Smallwood on Biological Impacts of the Ivanpah Solar Electric Generating System (ISEGS) (2011; 9 pp);
- Comments on Draft Eagle Conservation Plan Guidance (2011; 13 pp);
- Comments on Draft EIR/EA for Niles Canyon Safety Improvement Project (2011; 16 pp);
- Declaration of K. Shawn Smallwood, Ph.D., on Biological Impacts of the Route 84 Safety Improvement Project (2011; 7 pp);
- Rebuttal Testimony of Witness #22, K. Shawn Smallwood, Ph.D, on Behalf of Intervenors Friends of The Columbia Gorge & Save Our Scenic Area (2010; 6 pp);
- Prefiled Direct Testimony of Witness #22, K. Shawn Smallwood, Ph.D, on Behalf of

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- Mitigated Negative Declaration of the proposed Mining Permit (MIN 04-01) and Modification of Use Permit 96-02 at North Table Mountain (2006; 15 pp);
  - Windy Point Wind Farm Environmental Review and EIS (2006; 14 pp and 36 Powerpoint slides in reply to responses to comments);
  - Shiloh I Wind Power Project EIR (2005; 18 pp);
  - Buena Vista Wind Energy Project Notice of Preparation of EIR (2004; 15 pp);
  - Negative Declaration of the proposed Callahan Estates Subdivision (2004; 11 pp);
  - Negative Declaration of the proposed Winters Highlands Subdivision (2004; 9 pp);
  - Negative Declaration of the proposed Winters Highlands Subdivision (2004; 13 pp);
  - Negative Declaration of the proposed Creekside Highlands Project, Tract 7270 (2004; 21 pp);
  - On the petition California Fish and Game Commission to list the Burrowing Owl as threatened or endangered (2003; 10 pp);
  - Conditional Use Permit renewals from Alameda County for wind turbine operations in the Altamont Pass Wind Resource Area (2003; 41 pp);
  - UC Davis Long Range Development Plan of 2003, particularly with regard to the Neighborhood Master Plan (2003; 23 pp);
  - Anderson Marketplace Draft Environmental Impact Report (2003: 18 pp + 3 plates of photos);
  - Negative Declaration of the proposed expansion of Temple B'nai Tikyah (2003: 6 pp);
  - Antonio Mountain Ranch Specific Plan Public Draft EIR (2002: 23 pp);
  - Response to testimony of experts at the East Altamont Energy Center evidentiary hearing on biological resources (2002: 9 pp);
  - Revised Draft Environmental Impact Report, The Promenade (2002: 7 pp);
  - Recirculated Initial Study for Calpine's proposed Pajaro Valley Energy Center (2002: 3 pp);
  - UC Merced -- Declaration of Dr. Shawn Smallwood in support of petitioner's application for temporary restraining order and preliminary injunction (2002: 5 pp);
  - Replies to response to comments in Final Environmental Impact Report, Atwood Ranch Unit III Subdivision (2003: 22 pp);
  - Draft Environmental Impact Report, Atwood Ranch Unit III Subdivision (2002: 19 pp + 8 photos on 4 plates);
  - California Energy Commission Staff Report on GWF Tracy Peaker Project (2002: 17 pp + 3 photos; follow-up report of 3 pp);
  - Initial Study and Negative Declaration, Silver Bend Apartments, Placer County (2002: 13 pp);
  - UC Merced Long-range Development Plan DEIR and UC Merced Community Plan DEIR (2001: 26 pp);
  - Initial Study, Colusa County Power Plant (2001: 6 pp);
  - Comments on Proposed Dog Park at Catlin Park, Folsom, California (2001: 5 pp + 4 photos);
  - Pacific Lumber Co. (Headwaters) Habitat Conservation Plan and Environmental Impact Report (1998: 28 pp);
  - Final Environmental Impact Report/Statement for Issuance of Take authorization for listed

- species within the MSCP planning area in San Diego County, California (Fed. Reg. 62 (60): 14938, San Diego Multi-Species Conservation Program) (1997: 10 pp);
- Permit (PRT-823773) Amendment for the Natomas Basin Habitat Conservation Plan, Sacramento, CA (Fed. Reg. 63 (101): 29020-29021) (1998);
- Draft Recovery Plan for the Giant Garter Snake (*Thamnophis gigas*). (Fed. Reg. 64(176): 49497-49498) (1999: 8 pp);
- Review of the Draft Recovery Plan for the Arroyo Southwestern Toad (*Bufo microscaphus californicus*) (1998);
- Ballona West Bluffs Project Environmental Impact Report (1999: oral presentation);
- California Board of Forestry's proposed amended Forest Practices Rules (1999);
- Negative Declaration for the Sunset Sky Ranch Airport Use Permit (1999);
- Calpine and Bechtel Corporations' Biological Resources Implementation and Monitoring Program (BRMIMP) for the Metcalf Energy Center (2000: 10 pp);
- California Energy Commission's Final Staff Assessment of the proposed Metcalf Energy Center (2000);
- US Fish and Wildlife Service Section 7 consultation with the California Energy Commission regarding Calpine and Bechtel Corporations' Metcalf Energy Center (2000: 4 pp);
- California Energy Commission's Preliminary Staff Assessment of the proposed Metcalf Energy Center (2000: 11 pp);
- Site-specific management plans for the Natomas Basin Conservancy's mitigation lands, prepared by Wildlands, Inc. (2000: 7 pp);
- Affidavit of K. Shawn Smallwood in Spirit of the Sage Council, et al. (Plaintiffs) vs. Bruce Babbitt, Secretary, U.S. Department of the Interior, et al. (Defendants), Injuries caused by the No Surprises policy and final rule which codifies that policy (1999: 9 pp).

**Comments on other Environmental Review Documents:**

- Proposed Regulation for California Fish and Game Code Section 3503.5 (2015: 12 pp);
- Statement of Overriding Considerations related to extending Altamont Winds, Inc.'s Conditional Use Permit PLN2014-00028 (2015: 8 pp);
- Draft Program Level EIR for Covell Village (2005: 19 pp);
- Bureau of Land Management Wind Energy Programmatic EIS Scoping document (2003: 7 pp.);
- NEPA Environmental Analysis for Biosafety Level 4 National Biocontainment Laboratory (NBL) at UC Davis (2003: 7 pp);
- Notice of Preparation of UC Merced Community and Area Plan EIR, on behalf of The Wildlife Society—Western Section (2001: 8 pp.);
- Preliminary Draft Yolo County Habitat Conservation Plan (2001; 2 letters totaling 35 pp.);
- Merced County General Plan Revision, notice of Negative Declaration (2001: 2 pp.);
- Notice of Preparation of Campus Parkway EIR/EIS (2001: 7 pp.);
- Draft Recovery Plan for the bighorn sheep in the Peninsular Range (*Ovis canadensis*) (2000);
- Draft Recovery Plan for the California Red-legged Frog (*Rana aurora draytonii*), on behalf of The Wildlife Society—Western Section (2000: 10 pp.);
- Sierra Nevada Forest Plan Amendment Draft Environmental Impact Statement, on behalf of The Wildlife Society—Western Section (2000: 7 pp.);

Smallwood CV

36

- State Water Project Supplemental Water Purchase Program, Draft Program EIR (1997);
- Davis General Plan Update EIR (2000);
- Turn of the Century EIR (1999: 10 pp);
- Proposed termination of Critical Habitat Designation under the Endangered Species Act (Fed. Reg. 64(113): 31871-31874) (1999);
- NOA Draft Addendum to the Final Handbook for Habitat Conservation Planning and Incidental Take Permitting Process, termed the HCP 5-Point Policy Plan (Fed. Reg. 64(45): 11485 - 11490) (1999; 2 pp + attachments);
- Covell Center Project EIR and EIR Supplement (1997).

**Position Statements** I prepared the following position statements for the Western Section of The Wildlife Society, and one for nearly 200 scientists:

- Recommended that the California Department of Fish and Game prioritize the extermination of the introduced southern water snake in northern California. The Wildlife Society--Western Section (2001);
- Recommended that The Wildlife Society—Western Section appoint or recommend members of the independent scientific review panel for the UC Merced environmental review process (2001);
- Opposed the siting of the University of California’s 10th campus on a sensitive vernal pool/grassland complex east of Merced. The Wildlife Society--Western Section (2000);
- Opposed the legalization of ferret ownership in California. The Wildlife Society--Western Section (2000);
- Opposed the Proposed “No Surprises,” “Safe Harbor,” and “Candidate Conservation Agreement” rules, including permit-shield protection provisions (Fed. Reg. Vol. 62, No. 103, pp. 29091-29098 and No. 113, pp. 32189-32194). This statement was signed by 188 scientists and went to the responsible federal agencies, as well as to the U.S. Senate and House of Representatives.

**Posters at Professional Meetings**

Leyvas, E. and K. S. Smallwood. 2015. Rehabilitating injured animals to offset and rectify wind project impacts. Conference on Wind Energy and Wildlife Impacts, Berlin, Germany, 9-12 March 2015.

Smallwood, K. S., J. Mount, S. Standish, E. Leyvas, D. Bell, E. Walther, B. Karas. 2015. Integrated detection trials to improve the accuracy of fatality rate estimates at wind projects. Conference on Wind Energy and Wildlife Impacts, Berlin, Germany, 9-12 March 2015.

Smallwood, K. S. and C. G. Thelander. 2005. Lessons learned from five years of avian mortality research in the Altamont Pass WRA. AWEA conference, Denver, May 2005.

Neher, L., L. Wilder, J. Woo, L. Spiegel, D. Yen-Nakafugi, and K.S. Smallwood. 2005. Bird’s eye view on California wind. AWEA conference, Denver, May 2005.

Smallwood, K. S., C. G. Thelander and L. Spiegel. 2003. Toward a predictive model of avian

Smallwood CV

37

fatalities in the Altamont Pass Wind Resource Area. Windpower 2003 Conference and Convention, Austin, Texas.

Smallwood, K.S. and Eva Butler. 2002. Pocket Gopher Response to Yellow Star-thistle Eradication as part of Grassland Restoration at Decommissioned Mather Air Force Base, Sacramento County, California. White Mountain Research Station Open House, Barcroft Station.

Smallwood, K.S. and Michael L. Morrison. 2002. Fresno kangaroo rat (*Dipodomys nitratoides*) Conservation Research at Resources Management Area 5, Lemoore Naval Air Station. White Mountain Research Station Open House, Barcroft Station.

Smallwood, K.S. and E.L. Fitzhugh. 1989. Differentiating mountain lion and dog tracks. Third Mountain Lion Workshop, Prescott, AZ.

Smith, T. R. and K. S. Smallwood. 2000. Effects of study area size, location, season, and allometry on reported *Sorex* shrew densities. Annual Meeting of the Western Section of The Wildlife Society.

#### **Presentations at Professional Meetings and Seminars**

Repowering the Altamont Pass. Altamont Symposium, The Wildlife Society – Western Section, 5 February 2017.

Developing methods to reduce bird mortality in the Altamont Pass Wind Resource Area, 1999-2007. Altamont Symposium, The Wildlife Society – Western Section, 5 February 2017.

Conservation and recovery of burrowing owls in Santa Clara Valley. Santa Clara Valley Habitat Agency, Newark, California, 3 February 2017.

Mitigation of Raptor Fatalities in the Altamont Pass Wind Resource Area. Raptor Research Foundation Meeting, Sacramento, California, 6 November 2015.

From burrows to behavior: Research and management for burrowing owls in a diverse landscape. California Burrowing Owl Consortium meeting, 24 October 2015, San Jose, California.

The Challenges of repowering. Keynote presentation at Conference on Wind Energy and Wildlife Impacts, Berlin, Germany, 10 March 2015.

Research Highlights Altamont Pass 2011-2015. Scientific Review Committee, Oakland, California, 8 July 2015.

Siting wind turbines to minimize raptor collisions: Altamont Pass Wind Resource Area. US Fish and Wildlife Service Golden Eagle Working Group, Sacramento, California, 8 January 2015.

Evaluation of nest boxes as a burrowing owl conservation strategy. Sacramento Chapter of the Western Section, The Wildlife Society. Sacramento, California, 26 August 2013.

Predicting collision hazard zones to guide repowering of the Altamont Pass. Conference on wind

Smallwood CV

38

power and environmental impacts. Stockholm, Sweden, 5-7 February 2013.

Impacts of Wind Turbines on Wildlife. California Council for Wildlife Rehabilitators, Yosemite, California, 12 November 2012.

Impacts of Wind Turbines on Birds and Bats. Madrone Audubon Society, Santa Rosa, California, 20 February 2012.

Comparing Wind Turbine Impacts across North America. California Energy Commission Staff Workshop: Reducing the Impacts of Energy Infrastructure on Wildlife, 20 July 2011.

Siting Repowered Wind Turbines to Minimize Raptor Collisions. California Energy Commission Staff Workshop: Reducing the Impacts of Energy Infrastructure on Wildlife, 20 July 2011.

Siting Repowered Wind Turbines to Minimize Raptor Collisions. Alameda County Scientific Review Committee meeting, 17 February 2011

Comparing Wind Turbine Impacts across North America. Conference on Wind energy and Wildlife impacts, Trondheim, Norway, 3 May 2011.

Update on Wildlife Impacts in the Altamont Pass Wind Resource Area. Raptor Symposium, The Wildlife Society—Western Section, Riverside, California, February 2011.

Siting Repowered Wind Turbines to Minimize Raptor Collisions. Raptor Symposium, The Wildlife Society - Western Section, Riverside, California, February 2011.

Wildlife mortality caused by wind turbine collisions. Ecological Society of America, Pittsburgh, Pennsylvania, 6 August 2010.

Map-based repowering and reorganization of a wind farm to minimize burrowing owl fatalities. California burrowing Owl Consortium Meeting, Livermore, California, 6 February 2010.

Environmental barriers to wind power. Getting Real About Renewables: Economic and Environmental Barriers to Biofuels and Wind Energy. A symposium sponsored by the Environmental & Energy Law & Policy Journal, University of Houston Law Center, Houston, 23 February 2007.

Lessons learned about bird collisions with wind turbines in the Altamont Pass and other US wind farms. Meeting with Japan Ministry of the Environment and Japan Ministry of the Economy, Wild Bird Society of Japan, and other NGOs Tokyo, Japan, 9 November 2006.

Lessons learned about bird collisions with wind turbines in the Altamont Pass and other US wind farms. Symposium on bird collisions with wind turbines. Wild Bird Society of Japan, Tokyo, Japan, 4 November 2006.

Responses of Fresno kangaroo rats to habitat improvements in an adaptive management framework. California Society for Ecological Restoration (SERCAL) 13<sup>th</sup> Annual Conference, UC Santa

Smallwood CV

39

Barbara, 27 October 2006.

Fatality associations as the basis for predictive models of fatalities in the Altamont Pass Wind Resource Area. EEI/APLIC/PIER Workshop, 2006 Biologist Task Force and Avian Interaction with Electric Facilities Meeting, Pleasanton, California, 28 April 2006.

Burrowing owl burrows and wind turbine collisions in the Altamont Pass Wind Resource Area. The Wildlife Society - Western Section Annual Meeting, Sacramento, California, February 8, 2006.

Mitigation at wind farms. Workshop: Understanding and resolving bird and bat impacts. American Wind Energy Association and Audubon Society. Los Angeles, CA. January 10 and 11, 2006.

Incorporating data from the California Wildlife Habitat Relationships (CWHR) system into an impact assessment tool for birds near wind farms. Shawn Smallwood, Kevin Hunting, Marcus Yee, Linda Spiegel, Monica Parisi. Workshop: Understanding and resolving bird and bat impacts. American Wind Energy Association and Audubon Society. Los Angeles, CA. January 10 and 11, 2006.

Toward indicating threats to birds by California's new wind farms. California Energy Commission, Sacramento, May 26, 2005.

Avian collisions in the Altamont Pass. California Energy Commission, Sacramento, May 26, 2005.

Ecological solutions for avian collisions with wind turbines in the Altamont Pass Wind Resource Area. EPRI Environmental Sector Council, Monterey, California, February 17, 2005.

Ecological solutions for avian collisions with wind turbines in the Altamont Pass Wind Resource Area. The Wildlife Society—Western Section Annual Meeting, Sacramento, California, January 19, 2005.

Associations between avian fatalities and attributes of electric distribution poles in California. The Wildlife Society - Western Section Annual Meeting, Sacramento, California, January 19, 2005.

Minimizing avian mortality in the Altamont Pass Wind Resources Area. UC Davis Wind Energy Collaborative Forum, Palm Springs, California, December 14, 2004.

Selecting electric distribution poles for priority retrofitting to reduce raptor mortality. Raptor Research Foundation Meeting, Bakersfield, California, November 10, 2004.

Responses of Fresno kangaroo rats to habitat improvements in an adaptive management framework. Annual Meeting of the Society for Ecological Restoration, South Lake Tahoe, California, October 16, 2004.

Lessons learned from five years of avian mortality research at the Altamont Pass Wind Resources Area in California. The Wildlife Society Annual Meeting, Calgary, Canada, September 2004.

The ecology and impacts of power generation at Altamont Pass. Sacramento Petroleum Association,

Smallwood CV

40

Sacramento, California, August 18, 2004.

Burrowing owl mortality in the Altamont Pass Wind Resource Area. California Burrowing Owl Consortium meeting, Hayward, California, February 7, 2004.

Burrowing owl mortality in the Altamont Pass Wind Resource Area. California Burrowing Owl Symposium, Sacramento, November 2, 2003.

Raptor Mortality at the Altamont Pass Wind Resource Area. National Wind Coordinating Committee, Washington, D.C., November 17, 2003.

Raptor Behavior at the Altamont Pass Wind Resource Area. Annual Meeting of the Raptor Research Foundation, Anchorage, Alaska, September, 2003.

Raptor Mortality at the Altamont Pass Wind Resource Area. Annual Meeting of the Raptor Research Foundation, Anchorage, Alaska, September, 2003.

California mountain lions. Ecological & Environmental Issues Seminar, Department of Biology, California State University, Sacramento, November, 2000.

Intra- and inter-turbine string comparison of fatalities to animal burrow densities at Altamont Pass. National Wind Coordinating Committee, Carmel, California, May, 2000.

Using a Geographic Positioning System (GPS) to map wildlife and habitat. Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.

Suggested standards for science applied to conservation issues. Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.

The indicators framework applied to ecological restoration in Yolo County, California. Society for Ecological Restoration, September 25, 1999.

Ecological restoration in the context of animal social units and their habitat areas. Society for Ecological Restoration, September 24, 1999.

Relating Indicators of Ecological Health and Integrity to Assess Risks to Sustainable Agriculture and Native Biota. International Conference on Ecosystem Health, August 16, 1999.

A crosswalk from the Endangered Species Act to the HCP Handbook and real HCPs. Southern California Edison, Co. and California Energy Commission, March 4-5, 1999.

Mountain lion track counts in California: Implications for Management. Ecological & Environmental Issues Seminar, Department of Biological Sciences, California State University, Sacramento, November 4, 1998.

"No Surprises" -- Lack of science in the HCP process. California Native Plant Society Annual Conservation Conference, The Presidio, San Francisco, September 7, 1997.

Smallwood CV

41

In Your Interest. A half hour weekly show aired on Channel 10 Television, Sacramento. In this episode, I served on a panel of experts discussing problems with the implementation of the Endangered Species Act. Aired August 31, 1997.

Spatial scaling of pocket gopher (*Geomys*) density. Southwestern Association of Naturalists 44th Meeting, Fayetteville, Arkansas, April 10, 1997.

Estimating prairie dog and pocket gopher burrow volume. Southwestern Association of Naturalists 44th Meeting, Fayetteville, Arkansas, April 10, 1997.

Ten years of mountain lion track survey. Fifth Mountain Lion Workshop, San Diego, February 27, 1996.

Study and interpretive design effects on mountain lion density estimates. Fifth Mountain Lion Workshop, San Diego, February 27, 1996.

Small animal control. Session moderator and speaker at the California Farm Conference, Sacramento, California, Feb. 28, 1995.

Small animal control. Ecological Farming Conference, Asylomar, California, Jan. 28, 1995.

Habitat associations of the Swainson's Hawk in the Sacramento Valley's agricultural landscape. 1994 Raptor Research Foundation Meeting, Flagstaff, Arizona.

Alfalfa as wildlife habitat. Seed Industry Conference, Woodland, California, May 4, 1994.

Habitats and vertebrate pests: impacts and management. Managing Farmland to Bring Back Game Birds and Wildlife to the Central Valley. Yolo County Resource Conservation District, U.C. Davis, February 19, 1994.

Management of gophers and alfalfa as wildlife habitat. Orland Alfalfa Production Meeting and Sacramento Valley Alfalfa Production Meeting, February 1 and 2, 1994.

Patterns of wildlife movement in a farming landscape. Wildlife and Fisheries Biology Seminar Series: Recent Advances in Wildlife, Fish, and Conservation Biology, U.C. Davis, Dec. 6, 1993.

Alfalfa as wildlife habitat. California Alfalfa Symposium, Fresno, California, Dec. 9, 1993.

Management of pocket gophers in Sacramento Valley alfalfa. California Alfalfa Symposium, Fresno, California, Dec. 8, 1993.

Association analysis of raptors in a farming landscape. Plenary speaker at Raptor Research Foundation Meeting, Charlotte, North Carolina, Nov. 6, 1993.

Landscape strategies for biological control and IPM. Plenary speaker, International Conference on Integrated Resource Management and Sustainable Agriculture, Beijing, China, Sept. 11, 1993.

Smallwood CV

42

Landscape Ecology Study of Pocket Gophers in Alfalfa. Alfalfa Field Day, U.C. Davis, July 1993.

Patterns of wildlife movement in a farming landscape. Spatial Data Analysis Colloquium, U.C. Davis, August 6, 1993.

Sound stewardship of wildlife. Veterinary Medicine Seminar: Ethics of Animal Use, U.C. Davis, May 1993.

Landscape ecology study of pocket gophers in alfalfa. Five County Grower's Meeting, Tracy, California. February 1993.

Turbulence and the community organizers: The role of invading species in ordering a turbulent system, and the factors for invasion success. Ecology Graduate Student Association Colloquium, U.C. Davis. May 1990.

Evaluation of exotic vertebrate pests. Fourteenth Vertebrate Pest Conference, Sacramento, California. March 1990.

Analytical methods for predicting success of mammal introductions to North America. The Western Section of the Wildlife Society, Hilo, Hawaii. February 1988.

A state-wide mountain lion track survey. Sacramento County Dept Parks and Recreation. April 1986.

The mountain lion in California. Davis Chapter of the Audubon Society. October 1985.

Ecology Graduate Student Seminars, U.C. Davis, 1985-1990: Social behavior of the mountain lion; Mountain lion control; Political status of the mountain lion in California.

#### **Other forms of Participation at Professional Meetings**

- Scientific Committee, Conference on Wind energy and Wildlife impacts, Berlin, Germany, March 2015.
- Scientific Committee, Conference on Wind energy and Wildlife impacts, Stockholm, Sweden, February 2013.
- Workshop co-presenter at Birds & Wind Energy Specialist Group (BAWESG) Information sharing week, Bird specialist studies for proposed wind energy facilities in South Africa, Endangered Wildlife Trust, Darling, South Africa, 3-7 October 2011.
- Scientific Committee, Conference on Wind energy and Wildlife impacts, Trondheim, Norway, 2-5 May 2011.
- Chair of Animal Damage Management Session, The Wildlife Society, Annual Meeting, Reno, Nevada, September 26, 2001.

Smallwood CV

43

- Chair of Technical Session: Human communities and ecosystem health: Comparing perspectives and making connection. Managing for Ecosystem Health, International Congress on Ecosystem Health, Sacramento, CA August 15-20, 1999.
- Student Awards Committee, Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.
- Student Mentor, Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.

#### **Printed Mass Media**

Smallwood, K.S., D. Mooney, and M. McGuinness. 2003. We must stop the UCD biolab now. Op-Ed to the Davis Enterprise.

Smallwood, K.S. 2002. Spring Lake threatens Davis. Op-Ed to the Davis Enterprise.

Smallwood, K.S. Summer, 2001. Mitigation of habitation. The Flatlander, Davis, California.

Entrikan, R.K. and K.S. Smallwood. 2000. Measure O: Flawed law would lock in new taxes. Op-Ed to the Davis Enterprise.

Smallwood, K.S. 2000. Davis delegation lobbies Congress for Wildlife conservation. Op-Ed to the Davis Enterprise.

Smallwood, K.S. 1998. Davis Visions. The Flatlander, Davis, California.

Smallwood, K.S. 1997. Last grab for Yolo's land and water. The Flatlander, Davis, California.

Smallwood, K.S. 1997. The Yolo County HCP. Op-Ed to the Davis Enterprise.

#### **Radio/Television**

PBS News Hour,

FOX News, Energy in America: Dead Birds Unintended Consequence of Wind Power Development, August 2011.

KXJZ Capital Public Radio -- Insight (Host Jeffrey Callison). Mountain lion attacks (with guest Professor Richard Coss). 23 April 2009;

KXJZ Capital Public Radio -- Insight (Host Jeffrey Callison). Wind farm Rio Vista Renewable Power. 4 September 2008;

KQED QUEST Episode #111. Bird collisions with wind turbines. 2007;



Smallwood CV

44

KDVS Speaking in Tongues (host Ron Glick), Yolo County HCP: 1 hour. December 27, 2001;

KDVS Speaking in Tongues (host Ron Glick), Yolo County HCP: 1 hour. May 3, 2001;

KDVS Speaking in Tongues (host Ron Glick), Yolo County HCP: 1 hour. February 8, 2001;

KDVS Speaking in Tongues (host Ron Glick & Shawn Smallwood), California Energy Crisis: 1 hour. Jan. 25, 2001;

KDVS Speaking in Tongues (host Ron Glick), Headwaters Forest HCP: 1 hour. 1998;

Davis Cable Channel (host Gerald Heffernon), Burrowing owls in Davis: half hour. June, 2000;

Davis Cable Channel (hosted by Davis League of Women Voters), Measure O debate: 1 hour. October, 2000;

KXTV 10, In Your Interest, The Endangered Species Act: half hour. 1997.

**Reviews of Journal Papers** (Scientific journals for whom I've provided peer review)

Journal	Journal
American Naturalist	Journal of Animal Ecology
Journal of Wildlife Management	Western North American Naturalist
Auk	Journal of Raptor Research
Biological Conservation	National Renewable Energy Lab reports
Canadian Journal of Zoology	Oikos
Ecosystem Health	The Prairie Naturalist
Environmental Conservation	Restoration Ecology
Environmental Management	Southwestern Naturalist
Functional Ecology	The Wildlife Society--Western Section Trans.
Journal of Zoology (London)	Proc. Int. Congress on Managing for Ecosystem Health
Journal of Applied Ecology	Transactions in GIS
Ecology	Tropical Ecology
Wildlife Society Bulletin	Peer J
Biological Control	The Condor

**Committees**

- Scientific Review Committee, Alameda County, Altamont Pass Wind Resource Area
- Ph.D. Thesis Committee, Steve Anderson, University of California, Davis
- MS Thesis Committee, Marcus Yee, California State University, Sacramento

### **Other Professional Activities or Products**

Testified in Federal Court in Denver during 2005 over the fate of radio-nuclides in the soil at Rocky Flats Plant after exposure to burrowing animals. My clients won a judgment of \$553,000,000. I have also testified in many other cases of litigation under CEQA, NEPA, the Warren-Alquist Act, and other environmental laws. My clients won most of the cases for which I testified.

Testified before Environmental Review Tribunals in Ontario, Canada regarding proposed White Pines, Amherst Island, and Fairview Wind Energy projects.

Testified in Skamania County Hearing in 2009 on the potential impacts of zoning the County for development of wind farms and hazardous waste facilities.

Testified in deposition in 2007 in the case of O'Dell et al. vs. FPL Energy in Houston, Texas.

Testified in Klickitat County Hearing in 2006 on the potential impacts of the Windy Point Wind Farm.

### **Memberships in Professional Societies**

The Wildlife Society  
Raptor Research Foundation

### **Honors and Awards**

Fulbright Research Fellowship to Indonesia, 1987  
J.G. Boswell Full Academic Scholarship, 1981 college of choice  
Certificate of Appreciation, The Wildlife Society—Western Section, 2000, 2001  
Northern California Athletic Association Most Valuable Cross Country Runner, 1984  
American Legion Award, Corcoran High School, 1981, and John Muir Junior High, 1977  
CIF Section Champion, Cross Country in 1978  
CIF Section Champion, Track & Field 2 mile run in 1981  
National Junior Record, 20 kilometer run, 1982  
National Age Group Record, 1500 meter run, 1978

### **Community Activities**

District 64 Little League Umpire, 2003-2007  
Dixon Little League Umpire, 2006-07  
Davis Little League Chief Umpire and Board member, 2004-2005  
Davis Little League Safety Officer, 2004-2005  
Davis Little League Certified Umpire, 2002-2004  
Davis Little League Scorekeeper, 2002  
Davis Visioning Group member  
Petitioner for Writ of Mandate under the California Environmental Quality Act against City of Woodland decision to approve the Spring Lake Specific Plan, 2002  
Served on campaign committees for City Council candidates



Smallwood CV

46

**Representative Clients/Funders**

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Law Offices of Stephan C. Volker	EDF Renewables
Blum Collins, LLP	National Renewable Energy Lab
Eric K. Gillespie Professional Corporation	Altamont Winds LLC
Law Offices of Berger & Montague	Salka Energy
Lozeau   Drury LLP	Comstocks Business (magazine)
Law Offices of Roy Haber	BioResource Consultants
Law Offices of Edward MacDonald	Tierra Data
Law Office of John Gabrielli	Black and Veatch
Law Office of Bill Kopper	Terry Preston, Wildlife Ecology Research Center
Law Office of Donald B. Mooney	EcoStat, Inc.
Law Office of Veneruso & Moncharsh	US Navy
Law Office of Steven Thompson	US Department of Agriculture
Law Office of Brian Gaffney	US Forest Service
California Wildlife Federation	US Fish & Wildlife Service
Defenders of Wildlife	US Department of Justice
Sierra Club	California Energy Commission
National Endangered Species Network	California Office of the Attorney General
Spirit of the Sage Council	California Department of Fish & Wildlife
The Humane Society	California Department of Transportation
Hagens Berman LLP	California Department of Forestry
Environmental Protection Information Center	California Department of Food & Agriculture
Goldberg, Kamin & Garvin, Attorneys at Law	Ventura County Counsel
Californians for Renewable Energy (CARE)	County of Yolo
Seatuck Environmental Association	Tahoe Regional Planning Agency
Friends of the Columbia Gorge, Inc.	Sustainable Agriculture Research & Education Program
Save Our Scenic Area	Sacramento-Yolo Mosquito and Vector Control District
Alliance to Protect Nantucket Sound	East Bay Regional Park District
Friends of the Swainson's Hawk	County of Alameda
Alameda Creek Alliance	Don & LaNelle Silverstien
Center for Biological Diversity	Seventh Day Adventist Church
California Native Plant Society	Escuela de la Raza Unida
Endangered Wildlife Trust	Susan Pelican and Howard Beeman
and BirdLife South Africa	Residents Against Inconsistent Development, Inc.
AquAlliance	Bob Sarvey
Oregon Natural Desert Association	Mike Boyd
Save Our Sound	Hillcroft Neighborhood Fund
G3 Energy and Pattern Energy	Joint Labor Management Committee, Retail Food Industry
Emerald Farms	Lisa Rocca
Pacific Gas & Electric Co.	Kevin Jackson
Southern California Edison Co.	Dawn Stover and Jay Letto
Georgia-Pacific Timber Co.	Nancy Havassy
Northern Territories Inc.	Catherine Portman (for Brenda Cedarblade)
David Magney Environmental Consulting	Ventus Environmental Solutions, Inc.
Wildlife History Foundation	Panorama Environmental, Inc.
NextEra Energy Resources, LLC	Adams Broadwell Professional Corporation
Ogin, Inc.	

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**Representative special-status species experience**

<b>Common name</b>	<b>Species name</b>	<b>Description</b>
<b>Field experience</b>		
California red-legged frog	<i>Rana aurora draytonii</i>	Protocol searches; Many detections
Foothill yellow-legged frog	<i>Rana boylei</i>	Presence surveys; Many detections
Western spadefoot	<i>Spea hammondi</i>	Presence surveys; Few detections
California tiger salamander	<i>Ambystoma californiense</i>	Protocol searches; Many detections
Coast range newt	<i>Taricha torosa torosa</i>	Searches and multiple detections
Blunt-nosed leopard lizard	<i>Gambelia sila</i>	Detected in San Luis Obispo County
California horned lizard	<i>Phrynosoma coronatum frontale</i>	Searches; Many detections
Western pond turtle	<i>Clemmys marmorata</i>	Searches; Many detections
San Joaquin kit fox	<i>Vulpes macrotis mutica</i>	Protocol searches; detections
Sumatran tiger	<i>Panthera tigris</i>	Track surveys in Sumatra
Mountain lion	<i>Puma concolor californicus</i>	Research and publications
Point Arena mountain beaver	<i>Aplodontia rufa nigra</i>	Remote camera operation
Giant kangaroo rat	<i>Dipodomys ingens</i>	Detected in Cholame Valley
San Joaquin kangaroo rat	<i>Dipodomys nitratoideus</i>	Monitoring & habitat restoration
Monterey dusky-footed woodrat	<i>Neotoma fuscipes luciana</i>	Non-target captures and mapping of dens
Salt marsh harvest mouse	<i>Reithrodontomys raviventris</i>	Habitat assessment, monitoring
Salinas harvest mouse	<i>Reithrodontomys megalotus distichlus</i>	Captures; habitat assessment
<b>Bats</b>		
California clapper rail	<i>Rallus longirostris</i>	Thermal imaging surveys
Golden eagle	<i>Aquila chrysaetos</i>	Surveys and detections
Swainson's hawk	<i>Buteo swainsoni</i>	Numerical & behavioral surveys
Northern harrier	<i>Circus cyaneus</i>	Numerical & behavioral surveys
White-tailed kite	<i>Elanus leucurus</i>	Numerical & behavioral surveys
Loggerhead shrike	<i>Lanius ludovicianus</i>	Large area surveys
Least Bell's vireo	<i>Vireo bellii pusillus</i>	Detected in Monterey County
Willow flycatcher	<i>Empidonax traillii eximius</i>	Research at Sierra Nevada breeding sites
Burrowing owl	<i>Athene cunicularia hypugia</i>	Numerical & behavioral surveys
Valley elderberry longhorn beetle	<i>Desmocerus californicus dimorphus</i>	Monitored success of relocation and habitat restoration
<b>Analytical</b>		
Arroyo southwestern toad	<i>Bufo microscaphus californicus</i>	Research and report.
Giant garter snake	<i>Thamnophis gigas</i>	Research and publication
Northern goshawk	<i>Accipiter gentilis</i>	Research and publication
Northern spotted owl	<i>Strix occidentalis</i>	Research and reports
Alameda whipsnake	<i>Masticophis lateralis euryxanthus</i>	Expert testimony

## **EXHIBIT B**



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August 6, 2020

Aaron Messing  
Adams Broadwell Joseph & Cardozo  
601 Gateway Blvd., Suite 1000  
South San Francisco, CA 94080

**Subject: Comments on Wister Solar Energy Facility Project (SCH No. 2019110140)**

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Dear Mr. Messing,

We have reviewed the June 2020 Draft Environmental Impact Report (“DEIR”) for the Wister Solar Energy Facility Project (“Project”) located in the unincorporated area of Imperial County (“City”). The Project proposes to construct solar energy generation equipment and associated facilities, including a 52,500-SF substation and access roads, as well as a 2,500-foot gen-tie line and fiberoptic cable on the 100-acre Project site.

Our review concludes that the DEIR fails to adequately evaluate the Project’s hazards and hazardous materials, air quality, health risk, and greenhouse gas impacts. As a result, emissions and health risk impacts associated with construction and operation of the proposed Project are underestimated and inadequately addressed. An updated EIR should be prepared to adequately assess and mitigate the potential hazards and hazardous materials, air quality, health risk, and greenhouse gas impacts that the project may have on the surrounding environment.

### **Hazards and Hazardous Materials**

#### **Inadequate Analysis of Impacts**

A Phase I Environmental Site Assessment (ESA) was not prepared for the Project site. The preparation of a Phase I ESA is a common practice in CEQA matters to identify hazardous materials issues that may pose a risk to the public, workers, or the environment, and which may require further investigation through the conduct of a Phase II ESA. The DEIR only conducted a regulatory database search of the “Cortese List” (p. 6-2) which does not suffice for disclosure of impacts.

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Standards for performing a Phase I ESA have been established by the US EPA and the American Society for Testing and Materials Standards (ASTM).<sup>1</sup> Phase I ESAs are conducted to identify conditions indicative of releases of hazardous substances and include:

- a review of all known sites in the vicinity of the subject property that are on regulatory agency databases undergoing assessment or cleanup activities;
- an inspection;
- interviews with people knowledgeable about the property; and
- recommendations for further actions to address potential hazards.

Phase I ESAs conclude with the identification of any “recognized environmental conditions” (RECs) and recommendations to address such conditions. A REC is the presence or likely presence of any hazardous substances or petroleum products on a property under conditions that indicate an existing release, a past release, or a material threat of a release of any hazardous substances or petroleum products into structures on the property or into the ground, groundwater, or surface water of the property. If RECs are identified, then a Phase II ESA generally follows, which includes the collection of soil, soil vapor and groundwater samples, as necessary, to identify the extent of contamination and the need for cleanup to reduce exposure potential to the public.

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The preparation of a Phase I ESA is especially important because there is an idle geothermal well on the Project site. The well (Well No. 02591491) is in the northwest quarter of the Project site. According to the DEIR, “the geothermal well would be avoided by the proposed project. Implementation of the proposed project would not impact geothermal wells” (p. 6-3). A Phase I is necessary to examine, through an inspection, the geothermal well and any evidence of leakage of well fluids or any other associated chemicals that might constitute a recognized environmental condition.

Consistent with professional due diligence procedures commonly used in CEQA proceedings, a Phase I ESA, completed by a licensed environmental professional is necessary for inclusion in a revised EIR to identify recognized environmental conditions, if any, at the proposed Project site, including those associated with the idled geothermal well.

If a REC is identified, a Phase II should be conducted to sample for potential contaminants in soil (including pesticides), soil vapor and groundwater. Any contamination that is identified above regulatory screening levels, including California Office of Environmental Health Hazard Assessment’s Soil Screening Numbers<sup>2</sup>, should be further evaluated and cleaned up, if necessary, in coordination with the Regional Water Quality Control Board and the California Department of Toxics Substances Control.

#### Valley Fever Potential has not been Evaluated

The DEIR does not consider at all the potential for Project construction to increase the incidence of Valley Fever, a disease that can be caused by inhalation of spores of a soil-dwelling fungus. The impact

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<sup>1</sup> <http://www.astm.org/Standards/E1527.htm>

<sup>2</sup> <http://oehha.ca.gov/risk/chhsitable.html>

of Valley Fever on workers constructing large, industrial-scale solar projects was documented in a study examining the October 2011–April 2014 timeframe, a period where 44 California solar construction workers were diagnosed with symptom onset.<sup>3</sup> A revised DEIR must be revised to evaluate Valley Fever impacts resulting from Project construction and to include additional mitigation.

Valley Fever is caused by inhaling the spores of a soil-dwelling fungus, *Coccidioides immitis*.<sup>4</sup> The spores become airborne when infected soils are disturbed during construction activities, agricultural operations, dust storms, or during earthquakes. A 2012 study documented that between 1990 and 2008, more than 3,000 people died in the United States from Valley Fever with about half in California.<sup>5</sup> In recent years, reported Valley Fever cases in southwestern United States have increased dramatically.<sup>6</sup>

No known cure exists for the disease and there is no vaccine.<sup>7</sup> Common symptoms of Valley Fever include fatigue, fever, cough, headaches, breathing difficulties, rash, muscle aches, and joint pain. Advanced symptoms are marked by chronic pneumonia, meningitis, skin lesions and bone or joint infections. Pneumonia stemming from Valley Fever becomes evident 13 weeks after infection.<sup>8</sup> Project construction and operation will generate dust which is one of the primary routes of exposure for contracting Valley Fever.<sup>9</sup> Construction workers are susceptible to contracting Valley Fever and are one of the most at-risk populations.<sup>10</sup>

The disease is debilitating and prevents those who have contracted Valley Fever from working.<sup>11</sup> The longest period of disability from occupational exposure in California is to construction workers, with 62%

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<sup>3</sup> Coccidioidomycosis among Workers Constructing Solar Power Farms, California, USA, 2011–2014, [http://wwwnc.cdc.gov/eid/article/21/11/15-0129\\_article](http://wwwnc.cdc.gov/eid/article/21/11/15-0129_article)

<sup>4</sup> <http://www.cdc.gov/fungal/diseases/coccidioidomycosis/definition.html>

<sup>5</sup> Jennifer Y. Huang, Benjamin Bristow, Shira Shafir, and Frank Sorvillo, Coccidioidomycosis-associated Deaths, United States, 1990–2008; <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3559166/>

<sup>6</sup> Center for Disease Control; Fungal Pneumonia: A Silent Epidemic, Coccidioidomycosis (Valley Fever); <http://www.cdc.gov/fungal/pdf/cocci-fact-sheet-sw-us-508c.pdf>

<sup>7</sup> <http://www.cdc.gov/fungal/diseases/coccidioidomycosis/risk-prevention.html>.

<sup>8</sup> See, e.g., Lisa Valdivia, David Nix, Mark Wright, Elizabeth Lindberg, Timothy Fagan, Donald Lieberman, Prien Stoffer, Neil M. Ampel, and John N. Galgiani, Coccidioidomycosis as a Common Cause of Community-acquired Pneumonia, *Emerging Infectious Diseases*, v. 12, no. 6, June 2006; <http://europemc.org/articles/PMC3373055>.

<sup>9</sup> Rafael Laniado-Laborin, Expanding Understanding of Epidemiology of Coccidioidomycosis in the Western Hemisphere, *Ann. N.Y. Acad. Sci.*, v. 111, 2007, pp. 20-22;

Frederick S. Fisher, Mark W. Bultman, Suzanne M. Johnson, Demosthenes Pappagianis, and Erik Zaborsky Coccidioides Niches and Habitat Parameters in the Southwestern United States, a Matter of Scale, *Ann. N.Y. Acad. Sci.*, No. 1111, 2007, pp. 47-72 (“All of the examined soil locations are noteworthy as generally 50% of the individuals who were exposed to the dust or were excavating dirt at the sites were infected.”)

<sup>10</sup> Lawrence L. Schmelzer and R. Tabershaw, Exposure Factors in Occupational Coccidioidomycosis, *Am. J. Public Health Nations Health*, v. 58, no. 1, 1968, pp. 107-113, Table 3;

<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1228046/?page=1>

<sup>11</sup> Frank E. Swatek, Ecology of *Coccidioides Immitis*, *Mycopathologia et Mycologia Applicata*, V. 40, Nos. 1-2, pp. 3-12, 1970.

of the reported cases resulting in over 60 days of lost work.<sup>12</sup> Another study estimated the average hospital stay for each (non-construction work) case of coccidioidomycosis at 35 days.<sup>13</sup>

The potentially exposed population is much larger than construction workers on or adjacent to the Project site because dust generated during Project construction will carry the very small spores – 0.002-0.005 millimeters in diameter – into other areas, potentially exposing large segments of the public.<sup>14,15</sup>

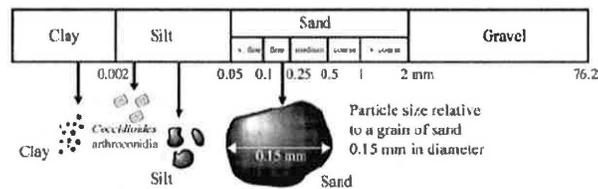


Figure 4: Size of cocci spores compared to soil particles (in mm)  
(from: Fisher et al., 2007, Fig. 3)

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Valley Fever spores have been documented to travel as much as 500 miles<sup>16</sup> and dust raised during construction could potentially expose a large number of people located miles away.

A revised DEIR should consider the following mitigation measures that would be specific to Valley Fever:

1. Minimize Exposure to Potential Valley Fever–Containing Dust through:
  - Cleaning equipment and vehicles of dust
  - Conducting earth-moving activities downwind of worker when possible
  - Spraying areas to be graded with water
  - Ceasing work if water runs out until a water truck can return
  - Using earth-moving vehicles with closed-cabs and equipped with a HEPA-filtered air systems
  - Training workers about Valley Fever and providing informational handouts.
2. Providing respirators to workers when requested and providing training on the proper use of personal protective equipment.
3. Payment of a monetary fee to Imperial County for implementation of Valley Fever public awareness programs.

<sup>12</sup> Schmelzer and Tabershaw, 1968, Table 4.

<sup>13</sup> Demosthenes Pappagianis and Hans Einstein, Tempest from Tehachapi Takes Toll or Coccidioides Conveyed Aloft and Afar, West J. Med., v. 129, Dec. 1978, pp. 527-530;  
<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1238466/pdf/westjmed00256-0079.pdf>.

<sup>14</sup> Schmelzer and Tabershaw, 1968, p. 110; Pappagianis and Einstein, 1978.

<sup>15</sup> Pappagianis and Einstein, 1978, p. 527 ("The northern areas were not directly affected by the ground level windstorm that had struck Kern County but the dust was lifted to several thousand feet elevation and, borne on high currents, the soil and arthrospores along with some moisture were gently deposited on sidewalks and automobiles as "a mud storm" that vexed the residents of much of California." The storm originating in Kern County, for example, had major impacts in the San Francisco Bay Area and Sacramento).

<sup>16</sup> David Filip and Sharon Filip, Valley Fever Epidemic, Golden Phoenix Books, 2008, p. 24.

4. To require a respiratory protection program that is compliant with California Code of Regulations, Title 8, Section 5144.<sup>17</sup>

Implementation of these mitigation measures is feasible and would significantly reduce public health impacts. A revised DEIR must be revised to include these mitigation measures and to acknowledge the potential impact of an increase in the incidence in Valley Fever caused by Project construction.

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cont.

## Air Quality

### Unsubstantiated Input Parameters Used to Estimate Project Emissions

The DEIR's air quality analysis relies on emissions calculated with CalEEMod.2016.3.2.<sup>18</sup> CalEEMod provides recommended default values based on site-specific information, such as land use type, meteorological data, total lot acreage, project type and typical equipment associated with project type. If more specific project information is known, the user can change the default values and input project-specific values, but the California Environmental Quality Act ("CEQA") requires that such changes be justified by substantial evidence.<sup>19</sup> Once all of the values are inputted into the model, the Project's construction and operational emissions are calculated, and "output files" are generated. These output files disclose to the reader what parameters were utilized in calculating the Project's air pollutant emissions and make known which default values were changed as well as provide justification for the values selected.<sup>20</sup>

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As previously stated, the DEIR's air quality analysis relies on air pollutant emissions calculated using CalEEMod. When reviewing the Project's CalEEMod output files, provided in the Air Quality Technical Study as Appendix D to the DEIR, we found that several model inputs were not consistent with information disclosed in the DEIR. As a result, the Project's construction and operational emissions are underestimated. An updated EIR should be prepared to include an updated air quality analysis that adequately evaluates the impacts that construction and operation of the Project will have on local and regional air quality.

### Use of an Incorrect Land Use Size

According to the DEIR, the Project proposes the construction of solar energy generation equipment, including 12 blocks of 2,520 3.5-foot by 4.8-foot PV panels, a 300-foot by 175-foot substation, and a fiberoptic cable and gen-tie line (p. 2-9 – 2-11). As such, the Project would include 508,032-SF<sup>21</sup> of PV

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<sup>17</sup> California Department of Public Health and California Department of Industrial Relations, Protection from Valley Fever <https://www.dir.ca.gov/dosh/valley-fever-home.html>

<sup>18</sup> CAPCOA (November 2017) CalEEMod User's Guide, [http://www.aqmd.gov/docs/default-source/caleemod/01\\_user-39-s-guide2016-3-2\\_15november2017.pdf?sfvrsn=4](http://www.aqmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4).

<sup>19</sup> CAPCOA (November 2017) CalEEMod User's Guide, [http://www.aqmd.gov/docs/default-source/caleemod/01\\_user-39-s-guide2016-3-2\\_15november2017.pdf?sfvrsn=4](http://www.aqmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4), p. 1, 9.

<sup>20</sup> CAPCOA (November 2017) CalEEMod User's Guide, [http://www.aqmd.gov/docs/default-source/caleemod/01\\_user-39-s-guide2016-3-2\\_15november2017.pdf?sfvrsn=4](http://www.aqmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4), p. 11, 12 – 13. A key feature of the CalEEMod program is the "remarks" feature, where the user explains why a default setting was replaced by a "user defined" value. These remarks are included in the report.

<sup>21</sup> Calculated: (3.5 feet \* 4 feet) \* (2,520 panels) \* (12 blocks) = 508,032-SF of PV panels.



panels and a 52,500-SF substation, as well as a fiber optic cable and gen-tie line. However, review of the Project’s operational CalEEMod model, “Wister Solar Project - Operational Emissions,” demonstrates that the model included 0-acres and 0-SF of “User Defined Industrial” land use space (see excerpt below) (Appendix D, pp. 50, 69, 84).

Land Uses	Size	Metric	Lot Acreage	Floor Surface Area	Population
User Defined Industrial	1.00	User Defined Unit	0.00	0.00	0

As you can see in the excerpt above, the Project’s operational model fails to include the PV panels and the substation facility. This presents an issue, as the land use type and size features are used throughout CalEEMod to determine default variable and emission factors that go into the model’s calculations.<sup>22</sup> The square footage of a land use is used for certain calculations such as determining the wall space to be painted (i.e., VOC emissions from architectural coatings) and volume that is heated or cooled (i.e., energy impacts). By failing to include the proposed PV panels and substation, the model underestimates the Project’s operational emissions and should not be relied upon to determine Project significance.

*Unsubstantiated Changes to Operational Vehicle Fleet Mix*

Review of the Project’s CalEEMod output files demonstrates that the model included several changes to the Project’s anticipated operational vehicle fleet mix percentage values (see excerpt below) (Appendix D, pp. 51-52, 70-71, 85-86).

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Table Name	Column Name	Default Value	New Value
tblFleetMix	HHD	0.12	0.00
tblFleetMix	LDA	0.51	0.34
tblFleetMix	LDT1	0.03	0.33
tblFleetMix	LDT2	0.16	0.33
tblFleetMix	LHD1	0.02	0.00
tblFleetMix	LHD2	5.1290e-003	0.00
tblFleetMix	MCY	5.2230e-003	0.00
tblFleetMix	MDV	0.12	0.00
tblFleetMix	MH	6.9400e-004	0.00
tblFleetMix	MHD	0.02	0.00
tblFleetMix	OBUS	3.3610e-003	0.00
tblFleetMix	SBUS	7.3900e-004	0.00
tblFleetMix	UBUS	1.1890e-003	0.00

As you can see in the excerpt above, the fleet mix percentage values for heavy-heavy duty trucks (“HHD”), light-heavy-duty trucks (“LHD1” and “LHD2”), medium-duty trucks (“MDV”), motorcycles (“MCY”), motor homes (“MH”), medium-heavy duty diesel trucks (“MHD”), and buses (“OBUS,” “SBUS,” and “UBUS”) were reduced to 0, while the fleet mix percentage values for light-duty trucks (“LDT1” and “LDT2”) were increased. As previously mentioned, the CalEEMod User’s Guide requires any changes to

<sup>22</sup> “CalEEMod User’s Guide.” CAPCOA, November 2017, available at: [http://www.aqmd.gov/docs/default-source/caleemod/01\\_user-39-s-guide2016-3-2\\_15november2017.pdf?sfvrsn=4](http://www.aqmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4), p. 18.

model defaults be justified.<sup>23</sup> According to the “User Entered Comments & Non-Default Data” table, the justification provided for these changes is: “Workers vehicle class assumed LD\_Mix, consistent with construction workers vehicles” (Appendix D, pp. 51, 70, 85). However, the justification provided refers to the Project’s construction-related vehicle fleet mix, while these changes impact the Project’s operational vehicle fleet mix. Furthermore, the DEIR fails to justify this statement or mention these changes. As such, we cannot verify that these revised fleet mix percentages apply to the proposed Project. This presents an issue, as CalEEMod utilizes the vehicle fleet mix to calculate the emissions associated with on-road motor vehicle use throughout the Project’s operation.<sup>24</sup> By including unsubstantiated changes to the Project’s anticipated vehicle fleet mix, the model may underestimate the Project’s mobile-related operational emissions and should not be relied upon to determine Project significance.

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*Underestimated Operational Vehicle Trips*

Review of the Project’s CalEEMod output files demonstrates that the model included only 4 Weekday, 0 Saturday, and 0 Sunday daily operational vehicle trips (see excerpt below) (Appendix D, pp. 59, 79, 94).

Land Use	Average Daily Trip Rate			Unmitigated	Mitigated
	Weekday	Saturday	Sunday	Annual VMT	Annual VMT
User Defined Industrial	4.00	0.00	0.00	10,400	10,400
Total	4.00	0.00	0.00	10,400	10,400

However, according to the DEIR:

“[I]t is conservatively assumed that for day-to-day inspection and minor maintenance, some employees would commute to the project site. The annual operations are assumed to be as follows:

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- For site inspection and minor repairs, up to 4 one-way worker trips per day would be generated.
- Routine maintenance activities would include panel washing, which is expected to occur four times annually over a total of 20 days. Panel washing activities are estimated to require additional daily trips of 4 work 6 haul trucks for transport of water during each event” (p. 3.10-8).

By including only 4 one-way trips per day for site inspection and minor repairs, the Project’s CalEEMod model fails to account for the trips associated with routine maintenance activities, which would generate an additional 4 worker and 6 hauling trips. Thus, in order to be consistent with the information provided in the DEIR and conduct the most conservative analysis as required by CEQA, the model should have included 14 daily one-way trips.<sup>25</sup> Failing to account for the correct number of daily operational trips presents an issue, as operational vehicle trips are used by CalEEMod to calculate the emissions

<sup>23</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9

<sup>24</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 37

<sup>25</sup> Calculated: (4 worker trips for site inspection and minor repairs) + (4 worker trips for routine maintenance activities) + (6 hauling trips for routine maintenance activities) = 14 average daily trips



associated with operational on-road vehicles.<sup>26</sup> As a result, the model may underestimate the Project’s operational emissions and should not be relied upon to determine Project significance.

*Unsubstantiated Changes to Operational Vehicle Trip Lengths*

Review of the Project’s CalEEMod output files demonstrates that the model included changes to the Project’s anticipated operational vehicle trip lengths (see excerpt below) (Appendix D, pp. 52, 71, 86).

Table Name	Column Name	Default Value	New Value
tblVehicleTrips	CC_TL	9.50	10.00
tblVehicleTrips	CNW_TL	11.90	10.00
tblVehicleTrips	CW_TL	16.40	10.00
tblVehicleTrips	HO_TL	0.00	10.00
tblVehicleTrips	HS_TL	0.00	10.00
tblVehicleTrips	HW_TL	0.00	10.00

As you can see in the excerpt above, the model changed the Project’s anticipated operational trip lengths from the default CalEEMod value to 10 miles. As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified.<sup>27</sup> Here, however, the “User Entered Comments & Non-Default Data” table fails to mention or provide a justification for these changes (Appendix D, pp. 51, 70, 85). Furthermore, the DEIR and associated documents fail to justify or mention these changes, and as a result, we cannot verify the revised operational trip lengths. These unsubstantiated changes present an issue, as operational vehicle trip lengths are used by CalEEMod to calculate the emissions associated with operational on-road vehicles.<sup>28</sup> As a result, the Project’s operational emissions may be underestimated, and the model should not be relied upon to determine Project significance.

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*Unsubstantiated Changes to Operational Vehicle Trip Purpose Percentages*

Review of the Project’s CalEEMod output files demonstrates that the model included a change to the Residential Home-to-Work Trip Purpose Percentage from 0% to 100% (Appendix D, pp. 52, 71, 86).

Table Name	Column Name	Default Value	New Value
tblVehicleTrips	HW_TTP	0.00	100.00

As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified.<sup>29</sup> Here, however, the “User Entered Comments & Non-Default Data” table fails to mention or provide a justification for this change (Appendix D, pp. 51, 70, 85). Furthermore, the DEIR and associated documents fail to justify or mention this change, and as a result, we cannot verify the revised Residential Home-to-Work Trip Purpose Percentage. This unsubstantiated change presents an issue, as operational vehicle trip purpose percentages are used by CalEEMod to calculate the emissions associated with

<sup>26</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 35  
<sup>27</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9  
<sup>28</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 35  
<sup>29</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9

operational on-road vehicles.<sup>30</sup> As a result, the Project’s operational emissions may be underestimated, and the model should not be relied upon to determine Project significance.

*Unsubstantiated Changes to Hauling, Vendor, and Worker Trip Percent Paved Values*

Review of the Project’s CalEEMod output files demonstrates that the model included changes to the Project’s construction and operational paved roads percentages.

The CalEEMod output files reveal that the model increased the Project’s *construction* hauling, vendor, and worker trips from 50% on paved roads to 98% on paved roads (see excerpt below) (Appendix D, pp. 100-101, 115-116, 130-131).

Table Name	Column Name	Default Value	New Value
tblOnRoadDust	HaulingPercentPave	50.00	98.00
tblOnRoadDust	HaulingPercentPave	50.00	98.00
tblOnRoadDust	HaulingPercentPave	50.00	98.00
tblOnRoadDust	VendorPercentPave	50.00	98.00
tblOnRoadDust	VendorPercentPave	50.00	98.00
tblOnRoadDust	VendorPercentPave	50.00	98.00
tblOnRoadDust	WorkerPercentPave	50.00	98.00
tblOnRoadDust	WorkerPercentPave	50.00	98.00
tblOnRoadDust	WorkerPercentPave	50.00	98.00

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 cont.

In addition, the CalEEMod output files reveal that the model increased the Project’s *operational* hauling, vendor, and worker trips from 50% on paved roads to 98% on paved roads (see excerpt below) (Appendix D, pp. 52, 71, 86).

Table Name	Column Name	Default Value	New Value
tblOnRoadDust	HaulingPercentPave	50.00	98.00
tblOnRoadDust	VendorPercentPave	50.00	98.00
tblOnRoadDust	WorkerPercentPave	50.00	98.00

As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified.<sup>31</sup> According to the “User Entered Comments & Non-Default Data” table, the justification provided for these changes is: “Project site is accessible through paved roads” (Appendix D, pp. 51, 70, 85, 99, 114, 129). However, simply because the Project site would be accessible via paved roads does not justify the increase to the Project’s anticipated construction- and operational-related road percent paved value. Furthermore, the DEIR discusses 6 roadways “that would be utilized for access to the project site during construction, and subsequent operation (e.g. maintenance) activities,” 2 of which are unpaved or dirt service roads (p. 3.10-2). Thus, the increase in percentage of paved roads to 98% is incorrect. This presents an issue as CalEEMod uses the percentage of paved roads to determine the

<sup>30</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 35

<sup>31</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9



fugitive dust emissions from on-road vehicles.<sup>32</sup> As a result, the Project’s construction-related and operational emissions may be underestimated, and the model should not be relied upon to determine Project significance.

*Incorrect Application of Construction-Related Mitigation Measures*

Review of the Project’s CalEEMod output files demonstrates that the model includes construction-related mitigation measures without sufficient justification. As a result, the Project’s construction-related emissions may be underestimated.

The CalEEMod output files reveal that the model includes the following construction-related mitigation measures: “Water Exposed Area” and “Reduce Vehicle Speed on Unpaved Roads” (see excerpt below) (Appendix D, 106, 121, 136).

**3.1 Mitigation Measures Construction**

Water Exposed Area  
Reduce Vehicle Speed on Unpaved Roads

Furthermore, the unpaved road vehicle speed was changed to 15 miles per hour (“MPH”) as a result of the “Reduce Vehicle Speed on Unpaved Roads” and the unpaved road moisture content was changed to 0.5% as a result of the “Water Exposed Area” mitigation measures (see excerpt below) (Appendix D, pp. 99, 115, 130).

Table Name	Column Name	Default Value	New Value
tblConstDustMitigation	WaterUnpavedRoadMoistureContent	0	0.5
tblConstDustMitigation	WaterUnpavedRoadVehicleSpeed	0	15

As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified.<sup>33</sup> According to the “User Entered Comments & Non-Default Data” table, the justification provided for these changes is: “Water 2 times per day” (Appendix D, pp. 99, 114, 129). However, this fails to justify a vehicle speed of 15 miles per hour and an unpaved road moisture content of 0.5%. Furthermore, according to the Imperial County Air Pollution Control District’s (“ICAPCD”) CEQA Handbook, as referenced by the DEIR, the following mitigation measures are only *recommended*: “water exposed soil with adequate frequency for continued moist soil” and “vehicle speed for all construction vehicles shall not exceed 15 mph on any unpaved surface at the construction site”<sup>34</sup> (p. 3.3-18). However, simply because these measures are *recommended* by the ICAPCD does not demonstrate that the proposed Project has *committed* to their implementation on the Project site. As a result, we cannot

<sup>32</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 35

<sup>33</sup> CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9

<sup>34</sup> ICAPCD’s CEQA Handbook, available at: <https://apcd.imperialcounty.org/wp-content/uploads/2020/01/CEQAHandbk.pdf>, p. 24

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verify the inclusion of these measures, and the model may underestimate the Project’s construction-related emissions.

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#### Failure to Evaluate Emissions from Decommissioning

According to the DEIR, the Project would have a 20-year lifespan (p. 3.7-13). Therefore, 20 years after operation of the Project commences, the solar panels and associated structures will need to be removed, impacted soils will need to be restored, and debris will need to be hauled off-site. As a result, the DEIR should have evaluated the potential emissions associated with the decommissioning of the Project and compared those emissions to applicable thresholds.

However, the DEIR fails to consider the proposed Project’s emissions from decommissioning. According to the DEIR:

“The emissions associated with decommissioning of the Project are not quantitatively estimated, as the extent of activities and emissions factors for equipment and vehicles at the time of decommissioning are unknown. The overall activity would be anticipated to be somewhat less than project construction, and the emissions from offroad and on-road equipment are expected to be much lower than those for the Project construction. However, without changes in fugitive dust control methods it is likely that fugitive dust emissions would be closer to those estimated for construction. Overall, similar to construction, emissions associated with decommissioning would be less than significant.” (p. 53).

As such, the DEIR fails to quantify emissions associated with these activities and compare them to applicable thresholds prior to Project approval. Until an adequate analysis is conducted that quantifies these impacts, the emissions generated by decommissioning activities remain unknown. As such, there is a large gap in the DEIR’s analysis of the Project’s impacts on regional air quality, and the Project should not be approved until an updated EIR is prepared to evaluate the emissions associated with decommissioning activities.

F.80

#### Failure to Evaluate Emissions from Fiberoptic Cable and Gen-tie Line

According to the DEIR, the Project proposes the installation of a fiberoptic cable and gen-tie line, along with the solar PV modules and substation facility (p. 2-1). However, the DEIR fails to quantify emissions resulting from construction and operation of the fiberoptic cable and gen-tie line. Specifically, regarding the air quality emission associated with these components of the Project, the DEIR states:

“The installation of the fiberoptic cable would require substantially less construction equipment and shorter duration compared to the construction of the solar energy facility and gen-tie line. Based on this consideration, the installation of the fiberoptic cable would result in GHG emissions below allowable thresholds. This is considered a less than significant impact” (p. 3.7-15).

As such, the DEIR fails to quantify emissions related to the fiberoptic cable and gen-tie line and compare them to applicable thresholds prior to Project approval. Until an adequate analysis is conducted that quantifies these impacts, the emissions generated by the fiberoptic cable and gen-tie line remain unknown. As such, there is a large gap in the DEIR’s analysis of the Project’s impacts on regional air



quality, and the Project should not be approved until an updated EIR is prepared to evaluate the emissions associated with the installation of the fiberoptic cable and gen-tie line.

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### Updated Analysis Indicates Significant Pollutant Emissions

In an effort to accurately determine the proposed Project’s construction and operational emissions, we prepared an updated CalEEMod model that includes more site-specific information and correct input parameters, as provided by the DEIR. In our updated model for the Project’s construction, we omitted the unsubstantiated construction-related mitigation measures and changes to the Project’s anticipated hauling, vendor, and worker trip percent paved values. When correct, site-specific input parameters are used to model emissions, we find that the Project’s construction-related PM<sub>10</sub> emissions increase when compared to the DEIR’s model and exceed the 150 pounds per day (“lbs/day”) threshold set by the ICAPCD, as referenced by the DEIR (see tables below) (p. 3.3-13, Table 3.3-7).

#### Maximum Daily Construction Emissions (Winter) (lbs/day):

Model	PM10
DEIR	17.6999
SWAPE	639.7735
% Increase	3515%
<b>ICAPCD Regional Threshold (lbs/day)</b>	<b>150</b>
<b>Threshold Exceeded?</b>	<b>Yes</b>

When correct input parameters are used to model the Project’s emissions, construction-related PM<sub>10</sub> emissions increase by approximately 3,515%, and exceed the ICAPCD threshold of 150 lbs/day. Our updated model demonstrates that when the Project’s emissions are estimated correctly, the Project would result in a potentially significant air quality impact that was not previously identified or addressed in the DEIR. A revised EIR should be prepared and recirculated to include an updated air pollution model to adequately estimate the Project’s construction and operational emissions, disclose the severity of the Project’s individual and cumulative criteria pollutant impacts, and incorporate mitigation to reduce these emissions to a less than significant level.<sup>35</sup>

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### Diesel Particulate Matter Health Risk Emissions Inadequately Evaluated

The DEIR concludes that the proposed Project’s health risk impact would be less than significant without conducting a quantified construction or operational health risk assessment (“HRA”) (p. 3.3-20). Specifically, the DEIR states:

“As there would be minimal and temporary emissions of DPM during project construction, and the nearest sensitive receptor is approximately 2,000 feet southwest of the project site, implementation of the project would not expose sensitive receptors to substantial pollutant concentrations, and impacts would be less than significant” (DEIR, p. 3.3-20).

<sup>35</sup> See section titled “Feasible Mitigation Measures Available to Reduce Emissions” on p. 15 of this comment letter. These measures would effectively reduce construction-related PM<sub>10</sub> emissions.

However, these justifications and subsequent less than significant impact finding are incorrect for several reasons.

First, review of Google Maps demonstrates that the nearest sensitive receptors are residences located approximately 395 meters, or 1,297 feet, west of the Project site (see excerpt below).



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As you can see in the excerpt above, there are residential receptors approximately 395 meters west of the Project site. As such, the DEIR's claim that "the nearest sensitive receptor is approximately 2,000 feet southwest of the project site" is incorrect (p. 3.3-20). As a result, the DEIR's evaluation of the Project's health risk impacts, as well as the subsequent less than significant impact conclusion, is incorrect and should not be relied upon to determine Project significance.

Second, the DEIR's claim that "the project would not expose sensitive receptors to substantial pollutant concentrations" is unsupported. The omission of a quantified HRA is inconsistent with the most recent guidance published by the Office of Environmental Health Hazard Assessment ("OEHHHA"), the organization responsible for providing guidance on conducting HRAs in California. In February of 2015, OEHHHA released its most recent *Risk Assessment Guidelines: Guidance Manual for Preparation of Health Risk Assessments*.<sup>36</sup> This guidance document describes the types of projects that warrant the preparation of an HRA. Construction of the Project will produce emissions of DPM, a human carcinogen, through the exhaust stacks of construction equipment over a construction period of approximately 221

<sup>36</sup> "Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments." OEHHHA, February 2015, available at: [http://oehha.ca.gov/air/hot\\_spots/hotspots2015.html](http://oehha.ca.gov/air/hot_spots/hotspots2015.html)



days (Appendix D, pp. 104, 119, 134). The OEHHA document recommends that all short-term projects lasting at least two months be evaluated for cancer risks to nearby sensitive receptors.<sup>37</sup> Therefore, per OEHHA guidelines, we recommend that health risk impacts from Project construction be evaluated by an updated EIR. Furthermore, once construction of the Project is complete, the Project will operate for a long period of time. Project operation will generate a net increase of approximately 4 daily vehicle trips, as well as an additional 4 worker trips and 6 haul truck trips during panel washing, which will generate additional exhaust emissions and continue to expose nearby sensitive receptors to DPM emissions (p. 3.10-8). The OEHHA document recommends that exposure from projects lasting more than 6 months be evaluated for the duration of the project, and recommends that an exposure duration of 30 years be used to estimate individual cancer risk for the maximally exposed individual resident (“MEIR”).<sup>38</sup> According to the DEIR, the Project would have an approximately 20-year lifespan (p. 3.7-13). Therefore, we recommend that health risk impacts from Project operation also be evaluated in an updated EIR, as a 20-year exposure duration vastly exceeds the 2-month and 6-month requirements set forth by OEHHA. These recommendations reflect the most recent health risk policy, as adopted by the air district, and as such, an updated assessment of health risks to nearby sensitive receptors from Project construction and operation should be included in an updated EIR for the Project.

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Third, by claiming a less than significant impact without conducting a quantified construction or operational HRA for nearby, existing sensitive receptors, the DEIR fails to compare the excess health risk impact to the ICAPCD’s specific numeric threshold of 10 in one million.<sup>39</sup> Thus, the DEIR cannot conclude less than significant health risk impacts resulting from Project construction without quantifying emissions to compare to the proper threshold.

## Greenhouse Gas

### Failure to Adequately Evaluate Greenhouse Gas Impacts

The DEIR concludes that the proposed Project would result in a less than significant GHG impact based on the Project’s renewable energy generation, which would offset any GHG emissions associated with the proposed Project (p. 3.7-13). Furthermore, the DEIR concludes that the proposed Project would result in a less than significant GHG impact as a result of the Project’s consistency with CARB’s 2008 AB 32 *Scoping Plan* (p. 3.7-14). Specifically, according to the DEIR:

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“The proposed project is consistent with the *AB 32 Scoping Plan* strategies to increase the total amount of renewable energy sources consistent with the *State’s* RPS requirements. The project would help the state meet this goal by generating up to 20 MW of power to California’s current renewable portfolio. In addition, the *project would not conflict with CARB’s emission reduction strategies in the Scoping Plan*. As the project would not exceed applicable GHG screening

<sup>37</sup> “Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments.” OEHHA, February 2015, available at: [http://oehha.ca.gov/air/hot\\_spots/2015/2015GuidanceManual.pdf](http://oehha.ca.gov/air/hot_spots/2015/2015GuidanceManual.pdf), p. 8-18

<sup>38</sup> “Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments.” OEHHA, February 2015, available at: [http://oehha.ca.gov/air/hot\\_spots/2015/2015GuidanceManual.pdf](http://oehha.ca.gov/air/hot_spots/2015/2015GuidanceManual.pdf), p. 8-6, 8-15

<sup>39</sup> “Section 4.1 Air Quality/Greenhouse Gas Emissions.” ICAPCD, October 2016, available at: <http://www.icpds.com/CMS/Media/4.1-Air-Quality-Greenhouse-Gases.pdf>, p. 4.1-12.

thresholds and would provide a GHG emissions benefit, the project would be consistent with the Scoping Plan’s goal of achieving cost-effective emissions reductions while accelerating the transition to a low-carbon economy.

*Neither the County of Imperial or ICAPCD have any specific plans, policies, nor regulations adopted for reducing the emissions of GHGs;* however, since the long-term operational GHG emissions are minimal and the construction emissions are short-term, the project would not conflict with any applicable plan, policy, or regulation adopted for reducing the emissions of GHGs. Implementation of the proposed project would result in a less than significant impact associated with the potential to conflict with an applicable plan, policy, or regulation adopted for the purpose of reducing the emission of GHG” (emphasis added) (p. 3.7-14).

However, the DEIR’s GHG analysis and subsequent less-than-significant impact conclusion is unsupported, as the DEIR’s reliance on CARB’s 2008 AB 32 Scoping Plan is incorrect for two reasons.

First, according to the *Scoping Plan*:

“As the lead agency for implementing AB 32, the California Air Resources Board (ARB or the Board) released a Draft Scoping Plan on June 26, 2008, which laid out a comprehensive statewide plan to reduce California’s greenhouse gas emissions to 1990 levels by 2020.”<sup>40</sup>

As demonstrated above, this plan implements AB 32 and thus, only contains emission reduction goals through 2020. Given that it is already August of 2020, and the Project has not yet been approved, this plan is outdated and does not apply to the proposed Project.

Second, the DEIR states that the Project “would not conflict with CARB’s emission reduction strategies in the Scoping Plan” (p. 3.7-14). However, simply not conflicting with CARB’s implementation of this Plan does not mean that the Project would comply or participate in the measures included.

Thus, we cannot verify that the proposed Project will result in a less than significant GHG impact, as claimed in the DEIR. As a result, we recommend that an updated EIR be prepared, including further information and analysis utilizing an adequate GHG reduction plan.

#### Feasible Mitigation Measures Available to Reduce Emissions

As discussed above, the Project’s air quality, health risk, and GHG emissions may result in potentially significant impacts. In an effort to reduce the Project’s emissions, we identified several mitigation measures that are applicable to the proposed Project from NEDC’s *Diesel Emission Controls in*

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<sup>40</sup> “Climate Change Scoping Plan: A Framework for Change Pursuant to AB 32 The California Global Warming Solutions Act of 2006.” California Air Resources Board (CARB), December 2008, *available at*: [https://ww2.arb.ca.gov/sites/default/files/classic/cc/scopingplan/document/adopted\\_scoping\\_plan.pdf](https://ww2.arb.ca.gov/sites/default/files/classic/cc/scopingplan/document/adopted_scoping_plan.pdf), p. 1.



Construction Projects.<sup>41</sup> Therefore, to reduce the Project’s emissions, consideration of the following measures should be made:

<b>NEDC’s Diesel Emission Controls in Construction Projects<sup>42</sup></b>	
<b>Measures – Diesel Emission Control Technology</b>	
a.	<b>Diesel Onroad Vehicles</b> All diesel nonroad vehicles on site for more than 10 total days must have either (1) engines that meet EPA onroad emissions standards or (2) emission control technology verified by EPA or CARB to reduce PM emissions by a minimum of 85%.
b.	<b>Diesel Generators</b> All diesel generators on site for more than 10 total days must be equipped with emission control technology verified by EPA or CARB to reduce PM emissions by a minimum of 85%.
c.	<b>Diesel Nonroad Construction Equipment</b> <ul style="list-style-type: none"> <li>i. All nonroad diesel engines on site must be Tier 2 or higher. Tier 0 and Tier 1 engines are not allowed on site</li> <li>ii. All diesel nonroad construction equipment on site for more than 10 total days must have either (1) engines meeting EPA Tier 4 nonroad emission standards or (2) emission control technology verified by EPA or CARB for use with nonroad engines to reduce PM emissions by a minimum of 85% for engines 50hp and greater and by a minimum of 20% for engines less than 50hp.</li> </ul>
d.	Upon confirming that the diesel vehicle, construction equipment, or generator has either an engine meeting Tier 4 non road emission standards or emission control technology, as specified above, installed and functioning, the developer will issue a compliance sticker. All diesel vehicles, construction equipment, and generators on site shall display the compliance sticker in a visible, external location as designated by the developer.
e.	Emission control technology shall be operated, maintained, and serviced as recommended by the emission control technology manufacturer.
f.	All diesel vehicles, construction equipment, and generators on site shall be fueled with ultra-low sulfur diesel fuel (ULSD) or a biodiesel blend <sup>43</sup> approved by the original engine manufacturer with sulfur content of 15 ppm or less.
<b>Measures – Idling Requirements</b>	
During periods of inactivity, idling of diesel onroad vehicles and nonroad equipment shall be minimized and shall not exceed the time allowed under state and local laws.	
<b>Measures – Additional Diesel Requirements</b>	

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<sup>41</sup> “Diesel Emission Controls in Construction Projects.” Northeast Diesel Collaborative (NEDC), December 2010, available at: <https://www.epa.gov/sites/production/files/2015-09/documents/nedc-model-contract-sepcification.pdf>.

<sup>42</sup> “Diesel Emission Controls in Construction Projects.” Northeast Diesel Collaborative (NEDC), December 2010, available at: <https://www.epa.gov/sites/production/files/2015-09/documents/nedc-model-contract-sepcification.pdf>.

<sup>43</sup> Biodiesel blends are only to be used in conjunction with the technologies which have been verified for use with biodiesel blends and are subject to the following requirements: <http://www.arb.ca.gov/diesel/verdev/reg/biodieselcompliance.pdf>.

<p>a. Construction shall not proceed until the contractor submits a certified list of all diesel vehicles, construction equipment, and generators to be used on site. The list shall include the following:</p> <ul style="list-style-type: none"> <li>i. Contractor and subcontractor name and address, plus contact person responsible for the vehicles or equipment.</li> <li>ii. Equipment type, equipment manufacturer, equipment serial number, engine manufacturer, engine model year, engine certification (Tier rating), horsepower, engine serial number, and expected fuel usage and hours of operation.</li> <li>iii. For the emission control technology installed: technology type, serial number, make, model, manufacturer, EPA/CARB verification number/level, and installation date and hour-meter reading on installation date.</li> </ul>
<p>b. If the contractor subsequently needs to bring on site equipment not on the list, the contractor shall submit written notification within 24 hours that attests the equipment complies with all contract conditions and provide information.</p>
<p>c. All diesel equipment shall comply with all pertinent local, state, and federal regulations relative to exhaust emission controls and safety.</p>
<p>d. The contractor shall establish generator sites and truck-staging zones for vehicles waiting to load or unload material on site. Such zones shall be located where diesel emissions have the least impact on abutters, the general public, and especially sensitive receptors such as hospitals, schools, daycare facilities, elderly housing, and convalescent facilities.</p>
<p><b>Reporting</b></p>
<p>a. For each onroad diesel vehicle, nonroad construction equipment, or generator, the contractor shall submit to the developer’s representative a report prior to bringing said equipment on site that includes:</p> <ul style="list-style-type: none"> <li>i. Equipment type, equipment manufacturer, equipment serial number, engine manufacturer, engine model year, engine certification (Tier rating), horsepower, and engine serial number.</li> <li>ii. The type of emission control technology installed, serial number, make, model, manufacturer, and EPA/CARB verification number/level.</li> <li>iii. The Certification Statement signed and printed on the contractor’s letterhead.</li> </ul>
<p>b. The contractor shall submit to the developer’s representative a monthly report that, for each onroad diesel vehicle, nonroad construction equipment, or generator onsite, includes:</p> <ul style="list-style-type: none"> <li>i. Hour-meter readings on arrival on-site, the first and last day of every month, and on off-site date.</li> <li>ii. Any problems with the equipment or emission controls.</li> <li>iii. Certified copies of fuel deliveries for the time period that identify:             <ul style="list-style-type: none"> <li>1. Source of supply</li> <li>2. Quantity of fuel</li> <li>3. Quality of fuel, including sulfur content (percent by weight)</li> </ul> </li> </ul>

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Furthermore, in an effort to reduce the Project’s emissions, we identified several mitigation measures that are applicable to the proposed Project from the Sacramento Metropolitan Air Quality Management District’s (“SMAQMD”) *Basic Construction Emission Control Practices (Best Management Practices)* and



Enhanced Exhaust Control Practices.<sup>44,45</sup> Therefore, to reduce the Project’s emissions, consideration of the following measures should be made:

SMAQMD’s Basic Construction Emission Control Practices <sup>46</sup>
<i>The following Basic Construction Emissions Control Practices are considered feasible for controlling fugitive dust from a construction site. The practices also serve as best management practices (BMPs), allowing the use of the non-zero particulate matter significance thresholds. Lead agencies should add these emission control practices as Conditions of Approval (COA) or include in a Mitigation Monitoring and Reporting Program (MMRP).</i>
Control of fugitive dust is required by District Rule 403 and enforced by District staff.
Water all exposed surfaces two times daily. Exposed surfaces include, but are not limited to soil piles, graded areas, unpaved parking areas, staging areas, and access roads.
Cover or maintain at least two feet of free board space on haul trucks transporting soil, sand, or other loose material on the site. Any haul trucks that would be traveling along freeways or major roadways should be covered.
Use wet power vacuum street sweepers to remove any visible trackout mud or dirt onto adjacent public roads at least once a day. Use of dry power sweeping is prohibited.
Limit vehicle speeds on unpaved roads to 15 miles per hour (mph).
All roadways, driveways, sidewalks, parking lots to be paved should be completed as soon as possible. In addition, building pads should be laid as soon as possible after grading unless seeding or soil binders are used.
<i>The following practices describe exhaust emission control from diesel powered fleets working at a construction site. California regulations limit idling from both on-road and offroad diesel-powered equipment. The California Air Resources Board (CARB) enforces idling limitations and compliance with diesel fleet regulations.</i>
Minimize idling time either by shutting equipment off when not in use or reducing the time of idling to 5 minutes [California Code of Regulations, Title 13, sections 2449(d)(3) and 2485]. Provide clear signage that posts this requirement for workers at the entrances to the site.
Provide current certificate(s) of compliance for CARB’s In-Use Off-Road Diesel-Fueled Fleets Regulation [California Code of Regulations, Title 13, sections 2449 and 2449.1].
<i>Although not required by local or state regulation, many construction companies have equipment inspection and maintenance programs to ensure work and fuel efficiencies</i>

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<sup>44</sup> “Basic Construction Emission Control Practices (Best Management Practices).” Sacramento Metropolitan Air Quality Management District (SMAQMD), July 2019, available at:

<https://www.epa.gov/sites/production/files/2015-09/documents/nedc-model-contract-sepcification.pdf>.

<sup>45</sup> “Enhanced Exhaust Control Practices.” Sacramento Metropolitan Air Quality Management District (SMAQMD) October 2013, available at:

<http://www.airquality.org/LandUseTransportation/Documents/Ch3EnhancedExhaustControlFINAL10-2013.pdf>.

<sup>46</sup> “Basic Construction Emission Control Practices (Best Management Practices).” Sacramento Metropolitan Air Quality Management District (SMAQMD), July 2019, available at:

<https://www.epa.gov/sites/production/files/2015-09/documents/nedc-model-contract-sepcification.pdf>.

Maintain all construction equipment in proper working condition according to manufacturer's specifications. The equipment must be checked by a certified mechanic and determine to be running in proper condition before it is operated.

**SMAQMD's Enhanced Exhaust Control Practices<sup>47</sup>**

1. The project representative shall submit to the lead agency and District a comprehensive inventory of all off-road construction equipment, equal to or greater than 50 horsepower, that will be used an aggregate of 40 or more hours during any portion of the construction project.
  - The inventory shall include the horsepower rating, engine model year, and projected hours of use for each piece of equipment.
  - The project representative shall provide the anticipated construction timeline including start date, and name and phone number of the project manager and on-site foreman.
  - This information shall be submitted at least 4 business days prior to the use of subject heavy-duty off-road equipment.
  - The District's Equipment List Form can be used to submit this information.
  - The inventory shall be updated and submitted monthly throughout the duration of the project, except that an inventory shall not be required for any 30-day period in which no construction activity occurs.
2. The project representative shall provide a plan for approval by the lead agency and District demonstrating that the heavy-duty off-road vehicles (50 horsepower or more) to be used in the construction project, including owned, leased, and subcontractor vehicles, will achieve a project wide fleet-average 20% NOX reduction and 45% particulate reduction compared to the most recent California Air Resources Board (ARB) fleet average.
  - This plan shall be submitted in conjunction with the equipment inventory.
  - Acceptable options for reducing emissions may include use of late model engines, low-emission diesel products, alternative fuels, engine retrofit technology, after-treatment products, and/or other options as they become available.
  - The District's Construction Mitigation Calculator can be used to identify an equipment fleet that achieves this reduction.
3. The project representative shall ensure that emissions from all off-road diesel powered equipment used on the project site do not exceed 40% opacity for more than three minutes in any one hour.
  - Any equipment found to exceed 40 percent opacity (or Ringelmann 2.0) shall be repaired immediately.
  - Non-compliant equipment will be documented and a summary provided to the lead agency and District monthly.
  - A visual survey of all in-operation equipment shall be made at least weekly.
  - A monthly summary of the visual survey results shall be submitted throughout the duration of the project, except that the monthly summary shall not be required for any 30-day period

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<sup>47</sup> "Enhanced Exhaust Control Practices." Sacramento Metropolitan Air Quality Management District (SMAQMD) October 2013, available at: <http://www.airquality.org/LandUseTransportation/Documents/Ch3EnhancedExhaustControlFINAL10-2013.pdf>.

in which no construction activity occurs. The monthly summary shall include the quantity and type of vehicles surveyed as well as the dates of each survey.
4. The District and/or other officials may conduct periodic site inspections to determine compliance. Nothing in this mitigation shall supersede other District, state or federal rules or regulations.

These measures offer a cost-effective, feasible way to incorporate lower-emitting design features into the proposed Project, which subsequently, reduce emissions released during Project construction and operation. An updated EIR should be prepared to include all feasible mitigation measures, as well as include an updated air quality and GHG analysis to ensure that the necessary mitigation measures are implemented to reduce emissions to below thresholds. The EIR should also demonstrate a commitment to the implementation of these measures prior to Project approval, to ensure that the Project's significant emissions are reduced to the maximum extent possible.

SWAPE has received limited discovery regarding this project. Additional information may become available in the future; thus, we retain the right to revise or amend this report when additional information becomes available. Our professional services have been performed using that degree of care and skill ordinarily exercised, under similar circumstances, by reputable environmental consultants practicing in this or similar localities at the time of service. No other warranty, expressed or implied, is made as to the scope of work, work methodologies and protocols, site conditions, analytical testing results, and findings presented. This report reflects efforts which were limited to information that was reasonably accessible at the time of the work, and may contain informational gaps, inconsistencies, or otherwise be incomplete due to the unavailability or uncertainty of information obtained or provided by third parties.

Sincerely,

Matt Hagemann, P.G., C.Hg.

Paul E. Rosenfeld, Ph.D.

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**Geologic and Hydrogeologic Characterization  
Investigation and Remediation Strategies  
Litigation Support and Testifying Expert  
Industrial Stormwater Compliance  
CEQA Review**

**Education:**

M.S. Degree, Geology, California State University Los Angeles, Los Angeles, CA, 1984.  
B.A. Degree, Geology, Humboldt State University, Arcata, CA, 1982.

**Professional Certifications:**

California Professional Geologist  
California Certified Hydrogeologist  
Qualified SWPPP Developer and Practitioner

**Professional Experience:**

Matt has 30 years of experience in environmental policy, contaminant assessment and remediation, stormwater compliance, and CEQA review. He spent nine years with the U.S. EPA in the RCRA and Superfund programs and served as EPA's Senior Science Policy Advisor in the Western Regional Office where he identified emerging threats to groundwater from perchlorate and MTBE. While with EPA, Matt also served as a Senior Hydrogeologist in the oversight of the assessment of seven major military facilities undergoing base closure. He led numerous enforcement actions under provisions of the Resource Conservation and Recovery Act (RCRA) and directed efforts to improve hydrogeologic characterization and water quality monitoring. For the past 15 years, as a founding partner with SWAPE, Matt has developed extensive client relationships and has managed complex projects that include consultation as an expert witness and a regulatory specialist, and a manager of projects ranging from industrial stormwater compliance to CEQA review of impacts from hazardous waste, air quality and greenhouse gas emissions.

Positions Matt has held include:

- Founding Partner, Soil/Water/Air Protection Enterprise (SWAPE) (2003 – present);
- Geology Instructor, Golden West College, 2010 – 2014, 2017;
- Senior Environmental Analyst, Komex H2O Science, Inc. (2000 -- 2003);

- Executive Director, Orange Coast Watch (2001 – 2004);
- Senior Science Policy Advisor and Hydrogeologist, U.S. Environmental Protection Agency (1989–1998);
- Hydrogeologist, National Park Service, Water Resources Division (1998 – 2000);
- Adjunct Faculty Member, San Francisco State University, Department of Geosciences (1993 – 1998);
- Instructor, College of Marin, Department of Science (1990 – 1995);
- Geologist, U.S. Forest Service (1986 – 1998); and
- Geologist, Dames & Moore (1984 – 1986).

**Senior Regulatory and Litigation Support Analyst:**

With SWAPE, Matt’s responsibilities have included:

- Lead analyst and testifying expert in the review of over 300 environmental impact reports and negative declarations since 2003 under CEQA that identify significant issues with regard to hazardous waste, water resources, water quality, air quality, greenhouse gas emissions, and geologic hazards. Make recommendations for additional mitigation measures to lead agencies at the local and county level to include additional characterization of health risks and implementation of protective measures to reduce worker exposure to hazards from toxins and Valley Fever.
- Stormwater analysis, sampling and best management practice evaluation at more than 100 industrial facilities.
- Expert witness on numerous cases including, for example, MTBE litigation, air toxins at hazards at a school, CERCLA compliance in assessment and remediation, and industrial stormwater contamination.
- Technical assistance and litigation support for vapor intrusion concerns.
- Lead analyst and testifying expert in the review of environmental issues in license applications for large solar power plants before the California Energy Commission.
- Manager of a project to evaluate numerous formerly used military sites in the western U.S.
- Manager of a comprehensive evaluation of potential sources of perchlorate contamination in Southern California drinking water wells.
- Manager and designated expert for litigation support under provisions of Proposition 65 in the review of releases of gasoline to sources drinking water at major refineries and hundreds of gas stations throughout California.

With Komex H2O Science Inc., Matt’s duties included the following:

- Senior author of a report on the extent of perchlorate contamination that was used in testimony by the former U.S. EPA Administrator and General Counsel.
- Senior researcher in the development of a comprehensive, electronically interactive chronology of MTBE use, research, and regulation.
- Senior researcher in the development of a comprehensive, electronically interactive chronology of perchlorate use, research, and regulation.
- Senior researcher in a study that estimates nationwide costs for MTBE remediation and drinking water treatment, results of which were published in newspapers nationwide and in testimony against provisions of an energy bill that would limit liability for oil companies.
- Research to support litigation to restore drinking water supplies that have been contaminated by MTBE in California and New York.

- Expert witness testimony in a case of oil production-related contamination in Mississippi.
- Lead author for a multi-volume remedial investigation report for an operating school in Los Angeles that met strict regulatory requirements and rigorous deadlines.
- Development of strategic approaches for cleanup of contaminated sites in consultation with clients and regulators.

**Executive Director:**

As Executive Director with Orange Coast Watch, Matt led efforts to restore water quality at Orange County beaches from multiple sources of contamination including urban runoff and the discharge of wastewater. In reporting to a Board of Directors that included representatives from leading Orange County universities and businesses, Matt prepared issue papers in the areas of treatment and disinfection of wastewater and control of the discharge of grease to sewer systems. Matt actively participated in the development of countywide water quality permits for the control of urban runoff and permits for the discharge of wastewater. Matt worked with other nonprofits to protect and restore water quality, including Surfrider, Natural Resources Defense Council and Orange County CoastKeeper as well as with business institutions including the Orange County Business Council.

**Hydrogeology:**

As a Senior Hydrogeologist with the U.S. Environmental Protection Agency, Matt led investigations to characterize and cleanup closing military bases, including Mare Island Naval Shipyard, Hunters Point Naval Shipyard, Treasure Island Naval Station, Alameda Naval Station, Moffett Field, Mather Army Airfield, and Sacramento Army Depot. Specific activities were as follows:

- Led efforts to model groundwater flow and contaminant transport, ensured adequacy of monitoring networks, and assessed cleanup alternatives for contaminated sediment, soil, and groundwater.
- Initiated a regional program for evaluation of groundwater sampling practices and laboratory analysis at military bases.
- Identified emerging issues, wrote technical guidance, and assisted in policy and regulation development through work on four national U.S. EPA workgroups, including the Superfund Groundwater Technical Forum and the Federal Facilities Forum.

At the request of the State of Hawaii, Matt developed a methodology to determine the vulnerability of groundwater to contamination on the islands of Maui and Oahu. He used analytical models and a GIS to show zones of vulnerability, and the results were adopted and published by the State of Hawaii and County of Maui.

As a hydrogeologist with the EPA Groundwater Protection Section, Matt worked with provisions of the Safe Drinking Water Act and NEPA to prevent drinking water contamination. Specific activities included the following:

- Received an EPA Bronze Medal for his contribution to the development of national guidance for the protection of drinking water.
- Managed the Sole Source Aquifer Program and protected the drinking water of two communities through designation under the Safe Drinking Water Act. He prepared geologic reports, conducted

public hearings, and responded to public comments from residents who were very concerned about the impact of designation.

- Reviewed a number of Environmental Impact Statements for planned major developments, including large hazardous and solid waste disposal facilities, mine reclamation, and water transfer.

Matt served as a hydrogeologist with the RCRA Hazardous Waste program. Duties were as follows:

- Supervised the hydrogeologic investigation of hazardous waste sites to determine compliance with Subtitle C requirements.
- Reviewed and wrote "part B" permits for the disposal of hazardous waste.
- Conducted RCRA Corrective Action investigations of waste sites and led inspections that formed the basis for significant enforcement actions that were developed in close coordination with U.S. EPA legal counsel.
- Wrote contract specifications and supervised contractor's investigations of waste sites.

With the National Park Service, Matt directed service-wide investigations of contaminant sources to prevent degradation of water quality, including the following tasks:

- Applied pertinent laws and regulations including CERCLA, RCRA, NEPA, NRDA, and the Clean Water Act to control military, mining, and landfill contaminants.
- Conducted watershed-scale investigations of contaminants at parks, including Yellowstone and Olympic National Park.
- Identified high-levels of perchlorate in soil adjacent to a national park in New Mexico and advised park superintendent on appropriate response actions under CERCLA.
- Served as a Park Service representative on the Interagency Perchlorate Steering Committee, a national workgroup.
- Developed a program to conduct environmental compliance audits of all National Parks while serving on a national workgroup.
- Co-authored two papers on the potential for water contamination from the operation of personal watercraft and snowmobiles, these papers serving as the basis for the development of nation-wide policy on the use of these vehicles in National Parks.
- Contributed to the Federal Multi-Agency Source Water Agreement under the Clean Water Action Plan.

**Policy:**

Served senior management as the Senior Science Policy Advisor with the U.S. Environmental Protection Agency, Region 9.

Activities included the following:

- Advised the Regional Administrator and senior management on emerging issues such as the potential for the gasoline additive MTBE and ammonium perchlorate to contaminate drinking water supplies.
- Shaped EPA's national response to these threats by serving on workgroups and by contributing to guidance, including the Office of Research and Development publication, *Oxygenates in Water: Critical Information and Research Needs*.
- Improved the technical training of EPA's scientific and engineering staff.
- Earned an EPA Bronze Medal for representing the region's 300 scientists and engineers in negotiations with the Administrator and senior management to better integrate scientific

principles into the policy-making process.

- Established national protocol for the peer review of scientific documents.

**Geology:**

With the U.S. Forest Service, Matt led investigations to determine hillslope stability of areas proposed for timber harvest in the central Oregon Coast Range. Specific activities were as follows:

- Mapped geology in the field, and used aerial photographic interpretation and mathematical models to determine slope stability.
- Coordinated his research with community members who were concerned with natural resource protection.
- Characterized the geology of an aquifer that serves as the sole source of drinking water for the city of Medford, Oregon.

As a consultant with Dames and Moore, Matt led geologic investigations of two contaminated sites (later listed on the Superfund NPL) in the Portland, Oregon, area and a large hazardous waste site in eastern Oregon. Duties included the following:

- Supervised year-long effort for soil and groundwater sampling.
- Conducted aquifer tests.
- Investigated active faults beneath sites proposed for hazardous waste disposal.

**Teaching:**

From 1990 to 1998, Matt taught at least one course per semester at the community college and university levels:

- At San Francisco State University, held an adjunct faculty position and taught courses in environmental geology, oceanography (lab and lecture), hydrogeology, and groundwater contamination.
- Served as a committee member for graduate and undergraduate students.
- Taught courses in environmental geology and oceanography at the College of Marin.

Matt is currently a part time geology instructor at Golden West College in Huntington Beach, California where he taught from 2010 to 2014 and in 2017.

**Invited Testimony, Reports, Papers and Presentations:**

**Hagemann, M.F., 2008.** Disclosure of Hazardous Waste Issues under CEQA. Presentation to the Public Environmental Law Conference, Eugene, Oregon.

**Hagemann, M.F., 2008.** Disclosure of Hazardous Waste Issues under CEQA. Invited presentation to U.S. EPA Region 9, San Francisco, California.

**Hagemann, M.F., 2005.** Use of Electronic Databases in Environmental Regulation, Policy Making and Public Participation. Brownfields 2005, Denver, Colorado.

**Hagemann, M.F., 2004.** Perchlorate Contamination of the Colorado River and Impacts to Drinking Water in Nevada and the Southwestern U.S. Presentation to a meeting of the American Groundwater Trust, Las Vegas, NV (served on conference organizing committee).

**Hagemann, M.F.**, 2004. Invited testimony to a California Senate committee hearing on air toxins at schools in Southern California, Los Angeles.

Brown, A., Farrow, J., Gray, A. and **Hagemann, M.**, 2004. An Estimate of Costs to Address MTBE Releases from Underground Storage Tanks and the Resulting Impact to Drinking Water Wells. Presentation to the Ground Water and Environmental Law Conference, National Groundwater Association.

**Hagemann, M.F.**, 2004. Perchlorate Contamination of the Colorado River and Impacts to Drinking Water in Arizona and the Southwestern U.S. Presentation to a meeting of the American Groundwater Trust, Phoenix, AZ (served on conference organizing committee).

**Hagemann, M.F.**, 2003. Perchlorate Contamination of the Colorado River and Impacts to Drinking Water in the Southwestern U.S. Invited presentation to a special committee meeting of the National Academy of Sciences, Irvine, CA.

**Hagemann, M.F.**, 2003. Perchlorate Contamination of the Colorado River. Invited presentation to a tribal EPA meeting, Pechanga, CA.

**Hagemann, M.F.**, 2003. Perchlorate Contamination of the Colorado River. Invited presentation to a meeting of tribal representatives, Parker, AZ.

**Hagemann, M.F.**, 2003. Impact of Perchlorate on the Colorado River and Associated Drinking Water Supplies. Invited presentation to the Inter-Tribal Meeting, Torres Martinez Tribe.

**Hagemann, M.F.**, 2003. The Emergence of Perchlorate as a Widespread Drinking Water Contaminant. Invited presentation to the U.S. EPA Region 9.

**Hagemann, M.F.**, 2003. A Deductive Approach to the Assessment of Perchlorate Contamination. Invited presentation to the California Assembly Natural Resources Committee.

**Hagemann, M.F.**, 2003. Perchlorate: A Cold War Legacy in Drinking Water. Presentation to a meeting of the National Groundwater Association.

**Hagemann, M.F.**, 2002. From Tank to Tap: A Chronology of MTBE in Groundwater. Presentation to a meeting of the National Groundwater Association.

**Hagemann, M.F.**, 2002. A Chronology of MTBE in Groundwater and an Estimate of Costs to Address Impacts to Groundwater. Presentation to the annual meeting of the Society of Environmental Journalists.

**Hagemann, M.F.**, 2002. An Estimate of the Cost to Address MTBE Contamination in Groundwater (and Who Will Pay). Presentation to a meeting of the National Groundwater Association.

**Hagemann, M.F.**, 2002. An Estimate of Costs to Address MTBE Releases from Underground Storage Tanks and the Resulting Impact to Drinking Water Wells. Presentation to a meeting of the U.S. EPA and State Underground Storage Tank Program managers.

**Hagemann, M.F.**, 2001. From Tank to Tap: A Chronology of MTBE in Groundwater. Unpublished report.

**Hagemann, M.F.**, 2001. Estimated Cleanup Cost for MTBE in Groundwater Used as Drinking Water. Unpublished report.

**Hagemann, M.F.**, 2001. Estimated Costs to Address MTBE Releases from Leaking Underground Storage Tanks. Unpublished report.

**Hagemann, M.F.**, and VanMouwerik, M., 1999. Potential Water Quality Concerns Related to Snowmobile Usage. Water Resources Division, National Park Service, Technical Report.

VanMouwerik, M. and **Hagemann, M.F.** 1999, Water Quality Concerns Related to Personal Watercraft Usage. Water Resources Division, National Park Service, Technical Report.

**Hagemann, M.F.**, 1999, Is Dilution the Solution to Pollution in National Parks? The George Wright Society Biannual Meeting, Asheville, North Carolina.

**Hagemann, M.F.**, 1997, The Potential for MTBE to Contaminate Groundwater. U.S. EPA Superfund Groundwater Technical Forum Annual Meeting, Las Vegas, Nevada.

**Hagemann, M.F.**, and Gill, M., 1996, Impediments to Intrinsic Remediation, Moffett Field Naval Air Station, Conference on Intrinsic Remediation of Chlorinated Hydrocarbons, Salt Lake City.

**Hagemann, M.F.**, Fukunaga, G.L., 1996, The Vulnerability of Groundwater to Anthropogenic Contaminants on the Island of Maui, Hawaii. Hawaii Water Works Association Annual Meeting, Maui, October 1996.

**Hagemann, M. F.**, Fukunaga, G. L., 1996, Ranking Groundwater Vulnerability in Central Oahu, Hawaii. Proceedings, Geographic Information Systems in Environmental Resources Management, Air and Waste Management Association Publication VIP-61.

**Hagemann, M.F.**, 1994. Groundwater Characterization and Cleanup at Closing Military Bases in California. Proceedings, California Groundwater Resources Association Meeting.

**Hagemann, M.F.** and Sabol, M.A., 1993. Role of the U.S. EPA in the High Plains States Groundwater Recharge Demonstration Program. Proceedings, Sixth Biennial Symposium on the Artificial Recharge of Groundwater.

**Hagemann, M.F.**, 1993. U.S. EPA Policy on the Technical Impracticability of the Cleanup of DNAPL-contaminated Groundwater. California Groundwater Resources Association Meeting.

**Hagemann, M.F.**, 1992. Dense Nonaqueous Phase Liquid Contamination of Groundwater: An Ounce of Prevention... Proceedings, Association of Engineering Geologists Annual Meeting, v. 35.

**Other Experience:**

Selected as subject matter expert for the California Professional Geologist licensing examinations, 2009-2011.



Technical Consultation, Data Analysis and  
Litigation Support for the Environment

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## ***Paul Rosenfeld, Ph.D.***

*Principal Environmental Chemist*

**Chemical Fate and Transport & Air Dispersion Modeling**

**Risk Assessment & Remediation Specialist**

### **Education**

Ph.D. Soil Chemistry, University of Washington, 1999. Dissertation on VOC filtration.  
M.S. Environmental Science, U.C. Berkeley, 1995. Thesis on organic waste economics.  
B.A. Environmental Studies, U.C. Santa Barbara, 1991. Thesis on wastewater treatment.

### **Professional Experience**

Dr. Rosenfeld is the Co-Founder and Principal Environmental Chemist at Soil Water Air Protection Enterprise (SWAPE). His focus is the fate and transport of environmental contaminants, risk assessment, and ecological restoration. His project experience ranges from monitoring and modeling of pollution sources as they relate to human and ecological health. Dr. Rosenfeld has investigated and designed remediation programs and risk assessments for contaminated sites containing, petroleum, MtBE and fuel oxygenates, chlorinated solvents, pesticides, radioactive waste, PCBs, PAHs, dioxins, furans, volatile organics, semi-volatile organics, perchlorate, heavy metals, asbestos, PFOA, unusual polymers, and odor. Significant projects performed by Dr. Rosenfeld include the following:

### **Litigation Support**

**Client: Missouri Department of Natural Resources (Jefferson City, Missouri)**

Serving as an expert in evaluating air pollution and odor emissions from a Republic Landfill in St. Louis, Missouri. Conducted. Project manager overseeing daily, weekly and comprehensive sampling of odor and chemicals.

**Client: Louisiana Department of Transportation and Development (Baton Rouge, Louisiana)**

Serving as an expert witness, conducting groundwater modeling of an ethylene dichloride DNAPL and soluble plume resulting from spill caused by Conoco Phillips.

**Client: Missouri Department of Natural Resources (St. Louis, Missouri)**

Serving as a consulting expert and potential testifying expert regarding a landfill fire directly adjacent to another landfill containing radioactive waste. Implemented an air monitoring program testing for over 100 different compounds using approximately 12 different analytical methods.

**Client: Baron & Budd, P.C. (Dallas, Texas) and Weitz & Luxeinberg (New York, New York)**

Served as a consulting expert in MTBE Federal Multi District Litigation (MDL) in New York. Consolidated ground water data, created maps for test cases, constructed damage model, evaluated taste and odor threshold levels. Resulted in a settlement of over \$440 million.

**Client: The Buzbee Law Firm (Houston, Texas)**

Served as a as an expert in ongoing litigation involving over 50,000+ plaintiffs who are seeking compensation for chemical exposure and reduction in property value resulting from chemicals released from the BP facility.



**Client: Environmental Litigation Group (Birmingham, Alabama)**

Serving as an expert on property damage, medical monitoring and toxic tort claims that have been filed on behalf of over 13,000 plaintiffs who were exposed to PCBs and dioxins/furans resulting from emissions from Monsanto and Cerro Copper's operations in Sauget, Illinois. Developed AERMOD models to demonstrate plaintiff's exposure.

**Client: Baron & Budd P.C. (Dallas Texas) and Korein Tillery (St. Louis, Missouri)**

Served as a consulting expert for a Class Action defective product claim filed in Madison County, Illinois against Syngenta and five other manufacturers for atrazine. Evaluated health issues associated with atrazine and determined treatment cost for filtration of public drinking water supplies. Resulted in \$105 million dollar settlement.

**Client: The Buzbee Law Firm (Houston, Texas)**

Served as a consulting expert in catalyst release and refinery emissions cases against the BP Refinery in Texas City. A jury verdict for 10 employees exposed to catalyst via BP's irresponsible behavior.

**Client: Baron & Budd, P.C. (Dallas, Texas)**

Served as a consulting expert to calculate the Maximum Allowable Dose Level (MADL) and No Significant Risk Level (NSRL), based on Cal EPA and OEHHHA guidelines, for Polychlorinated Biphenyls (PCBs) in fish oil dietary supplements.

**Client: Girardi Keese (Los Angeles, California)**

Served as an expert testifying on hydrocarbon exposure of a woman who worked on a fuel barge operated by Chevron. Demonstrated that the plaintiff was exposed to excessive amounts of benzene.

**Client: Mason & Cawood (Annapolis, Maryland) and Girardi & Keese (Los Angeles, California)**

Serving as an expert consultant on the Battlefield Golf Club fly ash disposal site in Chesapeake, VA, where arsenic, other metals and radionuclides are leaching into groundwater, and ash is blowing off-site onto the surrounding communities.

**Client: California Earth Mineral Corporation (Culver City, California)**

Evaluating the montmorillonite clay deposit located near El Centro, California. Working as a Defense Expert representing an individual who owns a 2,500 acre parcel that will potentially be seized by the United States Navy via eminent domain.

**Client: Matthews & Associates (Houston, Texas)**

Serving as an expert witness, preparing air model demonstrating residential exposure via emissions from fracking in natural gas wells in Duncan, Texas.

**Client: Baron & Budd P.C. (Dallas, Texas) and Korein Tillery (St. Louis, Missouri)**

Served as a consulting expert for analysis of private wells relating to litigation regarding compensation of private well owners for MTBE testing. Coordinated data acquisition and GIS analysis evaluating private well proximity to leaking underground storage tanks.

**Client: Lurie & Park LLP (Los Angeles, California)**

Served as an expert witness evaluating a vapor intrusion toxic tort case that resulted in a settlement. The Superfund site is a 4 1/2 mile groundwater plume of chlorinated solvents in Whittier, California.

**Client: Mason & Cawood (Annapolis, Maryland)**

Evaluated data from the Hess Gasoline Station in northern Baltimore, Maryland that had a release resulting in flooding of plaintiff's homes with gasoline-contaminated water, foul odor, and biofilm growth.

**Client: The Buzbee Law Firm (Houston, Texas)**

Evaluated air quality resulting from grain processing emissions in Muscatine, Iowa.

**Client: Anderson Kill & Olick, P.C. (Ventura, California)**

Evaluated historical exposure and lateral and vertical extent of contamination resulting from a ~150 million gallon Exxon Mobil tank farm located near Watts, California.

**Client: Packard Law Firm (Petaluma, California)**

Served as an expert witness, evaluated lead in Proposition 65 Case where various products were found to have elevated lead levels.

**Client: The Buzbee Law Firm (Houston, Texas)**

Evaluated data resulting from an oil spill in Port Arthur, Texas.

**Client: Nexsen Pruet, LLC (Charleston, South Carolina)**

Serving as expert in chlorine exposure in a railroad tank car accident where approximately 120,000 pounds of chlorine were released.

**Client: Girardi & Keese (Los Angeles, California)**

Serving as an expert investigating hydrocarbon exposure and property damage for ~600 individuals and ~280 properties in Carson, California where homes were constructed above a large tank farm formerly owned by Shell.

**Client: Brent Coon Law Firm (Cleveland, Ohio)**

Served as an expert, calculating an environmental exposure to benzene, PAHs, and VOCs from a Chevron Refinery in Hooven, Ohio. Conducted AERMOD modeling to determine cumulative dose.

**Client: Lundy Davis (Lake Charles, Louisiana)**

Served as consulting expert on an oil field case representing the lease holder of a contaminated oil field. Conducted field work evaluating oil field contamination in Sulphur, Louisiana. Property is owned by Conoco Phillips, but leased by Yellow Rock, a small oil firm.

**Client: Cox Cox Filo (Lake Charles, Louisiana)**

Served as testifying expert on a multimillion gallon oil spill in Lake Charles which occurred on June 19, 2006, resulting in hydrocarbon vapor exposure to hundreds of workers and residents. Prepared air model and calculated exposure concentration. Demonstrated that petroleum odor alone can result in significant health harms.

**Client: Cotchett Pitre & McCarthy (San Francisco, California)**

Served as testifying expert representing homeowners who unknowingly purchased homes built on an old oil field in Santa Maria, California. Properties have high concentrations of petroleum hydrocarbons in subsurface soils resulting in diminished property value.

**Client: Law Offices Of Anthony Liberatore P.C. (Los Angeles, California)**

Served as testifying expert representing individuals who rented homes on the Inglewood Oil Field in California. Plaintiffs were exposed to hydrocarbon contaminated water and air, and experienced health harms associated with the petroleum exposure.

**Client: Orange County District Attorney (Orange County, California)**

Coordinated a review of 143 ARCO gas stations in Orange County to assist the District Attorney's prosecution of CCR Title 23 and California Health and Safety Code violators.

**Client: Environmental Litigation Group (Birmingham, Alabama)**

Served as a testifying expert in a health effects case against ABC Coke/Drummond Company for polluting a community with PAHs, benzene, particulate matter, heavy metals, and coke oven emissions. Created air dispersion models and conducted attic dust sampling, exposure modeling, and risk assessment for plaintiffs.

**Client: Masry & Vitatoe (Westlake Village, California), Engstrom Lipscomb Lack (Los Angeles, California) and Baron & Budd P.C. (Dallas, Texas)**

Served as a consulting expert in Proposition 65 lawsuit filed against major oil companies for benzene and toluene releases from gas stations and refineries resulting in contaminated groundwater. Settlement included over \$110 million dollars in injunctive relief.

**Client: Tommy Franks Law Firm (Austin, Texas)**

Served as expert evaluating groundwater contamination which resulted from the hazardous waste injection program and negligent actions of Morton Thiokol and Rohm Hass. Evaluated drinking water contamination and community exposure.

**Client: Baron & Budd P.C. (Dallas, Texas) and Sher Leff (San Francisco, California)**

Served as consulting expert for several California cities that filed defective product cases against Dow Chemical and Shell for 1,2,3-trichloropropane groundwater contamination. Generated maps showing capture zones of impacted wells for various municipalities.

**Client: Weitz & Luxenberg (New York, New York)**

Served as expert on Property Damage and Nuisance claims resulting from emissions from the Countywide Landfill in Ohio. The landfill had an exothermic reaction or fire resulting from aluminum dross dumping, and the EPA fined the landfill \$10,000,000 dollars.

**Client: Baron & Budd P.C. (Dallas, Texas)**

Served as a consulting expert for a groundwater contamination case in Pensacola, Florida where fluorinated compounds contaminated wells operated by Escambia County.

**Client: Environmental Litigation Group (Birmingham, Alabama)**

Served as an expert on groundwater case where Exxon Mobil and Helena Chemical released ethylene dichloride into groundwater resulting in a large plume. Prepared report on the appropriate treatment technology and cost, and flaws with the proposed on-site remediation.

**Client: Environmental Litigation Group (Birmingham, Alabama)**

Served as an expert on air emissions released when a Bartlo Packaging Incorporated facility in West Helena, Arkansas exploded resulting in community exposure to pesticides and smoke from combustion of pesticides.

**Client: Omara & Padilla (San Diego, California)**

Served as a testifying expert on nuisance case against Nutro Dogfood Company that constructed a large dog food processing facility in the middle of a residential community in Victorville, California with no odor control devices. The facility has undergone significant modifications, including installation of a regenerative thermal oxidizer.

**Client: Environmental Litigation Group (Birmingham, Alabama)**

Serving as an expert on property damage and medical monitoring claims that have been filed against International Paper resulting from chemical emissions from facilities located in Bastrop, Louisiana; Prattville, Alabama; and Georgetown, South Carolina.

**Client: Estep and Shafer L.C. (Kingwood, West Virginia)**

Served as expert calculating acid emissions doses to residents resulting from coal-fired power plant emissions in West Virginia using various air models.

**Client: Watts Law Firm (Austin, Texas), Woodfill & Pressler (Houston, Texas) and Woska & Associates (Oklahoma City, Oklahoma)**

Served as testifying expert on community and worker exposure to CCA, creosote, PAHs, and dioxins/furans from a BNSF and Koppers Facility in Somerville, Texas. Conducted field sampling, risk assessment, dose assessment and air modeling to quantify exposure to workers and community members.

**Client: Environmental Litigation Group (Birmingham, Alabama)**

Served as expert regarding community exposure to CCA, creosote, PAHs, and dioxins/furans from a Louisiana Pacific wood treatment facility in Florala, Alabama. Conducted blood sampling and environmental sampling to determine environmental exposure to dioxins/furans and PAHs.

**Client: Sanders Law Firm (Colorado Springs, Colorado) and Vanvoras & Schwartzberg (Lake Charles, Louisiana)**

Served as an expert calculating chemical exposure to over 500 workers from large ethylene dichloride spill in Lake Charles, Louisiana at the Conoco Phillips Refinery.

**Client: Baron & Budd P.C. (Dallas, Texas)**

Served as consulting expert in a defective product lawsuit against Dow Agrosience focusing on Clopyralid, a recalcitrant herbicide that damaged numerous compost facilities across the United States.

**Client: Sullivan Papain Block McGrath & Cannavo (New York, New York) and The Cochran Firm (Dothan, Mississippi)**

Served as an expert regarding community exposure to metals, PAHs PCBs, and dioxins/furans from the burning of Ford paint sludge and municipal solid waste in Ringwood, New Jersey.

**Client: Rose, Klein & Marias LLP (Los Angeles, California)**

Served as an expert in 55 Proposition 65 cases against individual facilities in the Port of Los Angeles and Port of Long Beach. Prepared air dispersion and risk models to demonstrate that each facility emits diesel particulate matter that results in risks exceeding 1/100,000, hence violating the Proposition 65 Statute.

**Client: Rose, Klein & Marias LLP (Los Angeles, California) and Environmental Law Foundation (San Francisco, California)**

Served as an expert in a Proposition 65 case against potato chip manufacturers. Conducted an analysis of several brands of potato chips for acrylamide concentrations and found that all samples exceeded Proposition 65 No Significant Risk Levels.

**Client: Gonzales & Robinson (Westlake Village, California)**

Served as a testifying expert in a toxic tort case against Chevron (Ortho) for allowing a community to be contaminated with lead arsenate pesticide. Created air dispersion and soil vadose zone transport models, and evaluated bioaccumulation of lead arsenate in food.

**Client: Environment Now (Santa Monica, California)**

Served as expert for Environment Now to convince the State of California to file a nuisance claim against automobile manufacturers to recover MediCal damages from expenditures on asthma-related health care costs.

**Client: Trutanich Michell (Long Beach, California)**

Served as expert representing San Pedro Boat Works in the Port of Los Angeles. Prepared air dispersion, particulate air dispersion, and storm water discharge models to demonstrate that Kaiser Bulk Loading is responsible for copper concentrate accumulating in the bay sediment.

**Client: Azurix of North America (Fort Myers, Florida)**

Provided expert opinions, reports and research pertaining to a proposed County Ordinance requiring biosolids applicators to measure VOC and odor concentrations at application sites' boundaries.

**Client: MCP Polyurethane (Pittsburg, Kansas)**

Provided expert opinions and reports regarding metal-laden landfill runoff that damaged a running track by causing the reversion of the polyurethane due to its catalytic properties.

### **Risk Assessment And Air Modeling**

**Client: Hager, Dewick & Zuengler, S.C. (Green Bay, Wisconsin)**

Conducted odor audit of rendering facility in Green Bay, Wisconsin.

**Client: ABT-Haskell (San Bernardino, California)**

Prepared air dispersion model for a proposed state-of-the-art enclosed compost facility. Prepared a traffic analysis and developed odor detection limits to predict 1, 8, and 24-hour off-site concentrations of sulfur, ammonia, and amine.

**Client: Jefferson PRP Group (Los Angeles, California)**

Evaluated exposure pathways for chlorinated solvents and hexavalent chromium for human health risk assessment of Los Angeles Academy (formerly Jefferson New Middle School) operated by Los Angeles Unified School District.

**Client: Covanta (Susanville, California)**

Prepared human health risk assessment for Covanta Energy focusing on agricultural worker exposure to caustic fertilizer.

**Client: CIWMB (Sacramento, California)**

Used dispersion models to estimate traveling distance and VOC concentrations downwind from a composting facility for the California Integrated Waste Management Board.

**Client: Carboquimeca (Bogotá, Columbia)**

Evaluated exposure pathways for human health risk assessment for a confidential client focusing on significant concentrations of arsenic and chlorinated solvents present in groundwater used for drinking water.

**Client: Navy Base Realignment and Closure Team (Treasure Island, California)**

Used Johnson-Ettinger model to estimate indoor air PCB concentrations and compared estimated values with empirical data collected in homes.

**Client: San Diego State University (San Diego, California)**

Measured CO<sub>2</sub> flux from soils amended with different quantities of biosolids compost at Camp Pendleton to determine CO<sub>2</sub> credit values for coastal sage under fertilized and non-fertilized conditions.

**Client: Navy Base Realignment and Closure Team (MCAS Tustin, California)**

Evaluated cumulative risk of a multiple pathway scenario for a child resident and a construction worker. Evaluated exposure to air and soil via particulate and vapor inhalation, incidental soil ingestion, and dermal contact with soil.

**Client: MCAS Miramar (San Diego, California)**

Evaluated exposure pathways of metals in soil by comparing site data to background data. Risk assessment incorporated multiple pathway scenarios assuming child resident and construction worker particulate and vapor inhalation, soil ingestion, and dermal soil contact.

**Client: Naval Weapons Station (Seal Beach, California)**

Used a multiple pathway model to generate dust emission factors from automobiles driving on dirt roads. Calculated bioaccumulation of metals, PCBs, dioxin congeners and pesticides to estimate human and ecological risk.

**Client: King County, Douglas County (Washington State)**

Measured PM<sub>10</sub> and PM<sub>2.5</sub> emissions from windblown soil treated with biosolids and a polyacrylamide polymer in Douglas County, Washington. Used Pilat Mark V impactor for measurement and compared data to EPA particulate regulations.

**Client: King County (Seattle, Washington)**

Created emission inventory for several compost and wastewater facilities comparing VOC, particulate, and fungi concentrations to NIOSH values estimating risk to workers and individuals at neighboring facilities.

### **Air Pollution Investigation and Remediation**

**Client: Republic Landfill (Santa Clarita, California)**

Managed a field investigation of odor around a landfill during 30+ events. Used hedonic tone, butanol scale, dilution-to-threshold values, and odor character to evaluate odor sources and character and intensity.

**Client: California Biomass (Victorville, California)**

Managed a field investigation of odor around landfill during 9+ events. Used hedonic tone, butanol scale, dilution-to-threshold values, and odor character to evaluate odor sources, character and intensity.

**Client: ABT-Haskell (Redlands, California)**

Assisted in permitting a compost facility that will be completely enclosed with a complex scrubbing system using acid scrubbers, base scrubbers, biofilters, heat exchangers and chlorine to reduce VOC emissions by 99 percent.

**Client: Synagro (Corona, California)**

Designed and monitored 30-foot by 20-foot by 6-foot biofilter for VOC control at an industrial composting facility in Corona, California to reduce VOC emissions by 99 percent.

**Client: Jeff Gage (Tacoma, Washington)**

Conducted emission inventory at industrial compost facility using GC/MS analyses for VOCs. Evaluated effectiveness of VOC and odor control systems and estimated human health risk.

**Client: Daishowa America (Port Angeles Mill, Washington)**

Analyzed industrial paper sludge and ash for VOCs, heavy metals and nutrients to develop a land application program. Metals were compared to federal guidelines to determine maximum allowable land application rates.

**Client: Jeff Gage (Puyallup, Washington)**

Measured effectiveness of biofilters at composting facility and conducted EPA dispersion models to estimate traveling distance of odor and human health risk from exposure to volatile organics.

**Surface Water, Groundwater, and Wastewater Investigation/Remediation**

**Client: Confidential (Downey, California)**

Managed groundwater investigation to determine horizontal extent of 1,000 foot TCE plume associated with a metal finishing shop.

**Client: Confidential (West Hollywood, California)**

Designing soil vapor extraction system that is currently being installed for confidential client. Managing groundwater investigation to determine horizontal extent of TCE plume associated with dry cleaning.

**Client: Synagro Technologies (Sacramento, California)**

Managed groundwater investigation to determine if biosolids application impacted salinity and nutrient concentrations in groundwater.

**Client: Navy Base Realignment and Closure Team (Treasure Island, California)**

Assisted in the design and remediation of PCB, chlorinated solvent, hydrocarbon and lead contaminated groundwater and soil on Treasure Island. Negotiated screening levels with DTSC and Water Board. Assisted in the preparation of FSP/QAPP, RI/FS, and RAP documents and assisted in CEQA document preparation.

**Client: Navy Base Realignment and Closure Team (MCAS Tustin, California)**

Assisted in the design of groundwater monitoring systems for chlorinated solvents at Tustin MCAS. Contributed to the preparation of FS for groundwater treatment.

**Client: Mission Cleaning Facility (Salinas, California)**

Prepared a RAP and cost estimate for using an oxygen releasing compound (ORC) and molasses to oxidize diesel fuel in soil and groundwater at Mission Cleaning in Salinas.

**Client: King County (Washington)**

Established and monitored experimental plots at a US EPA Superfund Site in wetland and upland mine tailings contaminated with zinc and lead in Smeltonville, Idaho. Used organic matter and pH adjustment for wetland remediation and erosion control.

**Client: City of Redmond (Richmond, Washington)**

Collected storm water from compost-amended and fertilized turf to measure nutrients in urban runoff. Evaluated effectiveness of organic matter-lined detention ponds on reduction of peak flow during storm events. Drafted compost amended landscape installation guidelines to promote storm water detention and nutrient runoff reduction.

**Client: City of Seattle (Seattle, Washington)**

Measured VOC emissions from Renton wastewater treatment plant in Washington. Ran GC/MS, dispersion models, and sensory panels to characterize, quantify, control and estimate risk from VOCs.

**Client: Plumas County (Quincy, California)**



Installed wetland to treat contaminated water containing 1% copper in an EPA Superfund site. Revegetated 10 acres of acidic and metal laden sand dunes resulting from hydraulic mining. Installed and monitored piezometers in wetland estimating metal loading.

**Client: Adams Egg Farm (St. Kitts, West Indies)**

Designed, constructed, and maintained 3 anaerobic digesters at Springfield Egg Farm, St. Kitts. Digesters treated chicken excrement before effluent discharged into sea. Chicken waste was converted into methane cooking gas.

**Client: BLM (Kremmling, Colorado)**

Collected water samples for monitoring program along upper stretch of the Colorado River. Rafted along river and protected water quality by digging and repairing latrines.

**Soil Science and Restoration Projects**

**Client: Hefner, Stark & Marois, LLP (Sacramento, California)**

Facilitated in assisting Hefner, Stark & Marois, LLP in working with the Regional Water Quality board to determine how to utilize Calcium Participate as a by-product of processing sugar beets.

**Client: Kinder Morgan (San Diego County, California)**

Designed and monitored the restoration of a 110-acre project on Camp Pendleton along a 26-mile pipeline. Managed crew of 20, planting coastal sage, riparian, wetland, native grassland, and marsh ecosystems. Negotiated with the CDFW concerning species planting list and success standards.

**Client: NAVY BRAC (Orote Landfill, Guam)**

Designed and monitored pilot landfill cap mimicking limestone forest. Measured different species' root-penetration into landfill cap. Plants were used to evapotranspire water, reducing water leaching through soil profile.

**Client: LA Sanitation District Puente Hills Landfill (Whittier, California)**

Monitored success of upland and wetland mitigation at Puente Hills Landfill operated by Sanitation Districts of Los Angeles. Negotiated with the Army Corps of Engineers and CDFG to obtain an early sign-off.

**Client: City of Escondido (Escondido, California)**

Designed, managed, installed, and monitored a 20-acre coastal sage scrub restoration project at Kit Carson Park, Escondido, California.

**Client: Home Depot (Encinitas, California)**

Designed, managed, installed and monitored a 15-acre coastal sage scrub and wetland restoration project at Home Depot in Encinitas, California.

**Client: Alvarado Water Filtration Plant (San Diego, California)**

Planned, installed and monitored 2-acre riparian and coastal sage scrub mitigation in San Diego California.

**Client: Monsanto and James River Corporation (Clatskanie, Oregon)**

Served as a soil scientist on a 50,000-acre hybrid poplar farm. Worked on genetically engineering study of Poplar trees to see if glyphosate resistant poplar clones were economically viable.

**Client: World Wildlife Fund (St. Kitts, West Indies)**

Managed 2-year biodiversity study, quantifying and qualifying the various flora and fauna in St. Kitts' expanding volcanic rainforest. Collaborated with skilled botanists, ornithologists and herpetologists.

**Publications**

Chen, J. A., Zapata, A R., Sutherland, A. J., Molmen, D. R., Chow, B. S., Wu, L. E., Rosenfeld, P. E., Hesse, R. C., (2012) Sulfur Dioxide and Volatile Organic Compound Exposure To A Community In Texas City Texas Evaluated Using Aermol and Empirical Data. American Journal of Environmental Science, 2012, 8 (6), 622-632

**Rosenfeld, P.E.** & Feng, L. (2011). *The Risks of Hazardous Waste*, Amsterdam: Elsevier Publishing.

Cheremisinoff, N.P., & **Rosenfeld, P.E.** (2011). *Handbook of Pollution Prevention and Cleaner Production: Best Practices in the Agrochemical Industry*, Amsterdam: Elsevier Publishing.

Gonzalez, J., Feng, L., Sutherland, A., Waller, C., Sok, H., Hesse, R., **Rosenfeld, P.** (2011). PCBs and Dioxins/Furans in Attic Dust Collected Near Former PCB Production and Secondary Copper Facilities in Saugert, IL. *Procedia Environmental Sciences* 4(2011):113-125.

Feng, L., Wu, C., Tam, L., Sutherland, A.J., Clark, J.J., **Rosenfeld, P.E.**, (2010). Dioxin and Furan Blood Lipid and Attic Dust Concentrations in Populations Living Near Four Wood Treatment Facilities in the United States. *Journal of Environmental Health* 73(6):34-46.

Cheremisinoff, N.P., & **Rosenfeld, P.E.** (2010). *Handbook of Pollution Prevention and Cleaner Production: Best Practices in the Wood and Paper Industries*, Amsterdam: Elsevier Publishing.

Cheremisinoff, N.P., & **Rosenfeld, P.E.** (2009). *Handbook of Pollution Prevention and Cleaner Production: Best Practices in the Petroleum Industry*, Amsterdam: Elsevier Publishing.

Wu, C., Tam, L., Clark, J., **Rosenfeld, P.** (2009). 'Dioxin and furan blood lipid concentrations in populations living near four wood treatment facilities in the United States', in Brebbia, C.A. and Popov, V., eds., *Air Pollution XVII: Proceedings of the Seventeenth International Conference on Modelling, Monitoring and Management of Air Pollution*, Tallinn, Estonia. 20-22 July, 2009, Southampton, Boston. WIT Press.

Tam L. K., Wu C. D., Clark J. J. and **Rosenfeld, P.E.** (2008) A Statistical Analysis Of Attic Dust And Blood Lipid Concentrations Of Tetrachloro-p-Dibenzodioxin (TCDD) Toxicity Equivalency Quotients (TEQ) In Two Populations Near Wood Treatment Facilities. *Organohalogen Compounds*, Volume 70 (2008) page 002254.

Tam L. K., Wu C. D., Clark J. J. and **Rosenfeld, P.E.** (2008) Methods For Collect Samples For Assessing Dioxins And Other Environmental Contaminants In Attic Dust: A Review. *Organohalogen Compounds*, Volume 70 (2008) page 000527.

Hensley, A.R. A. Scott, J. J. J. Clark, **P. E. Rosenfeld** (2007) "Attic Dust and Human Blood Samples Collected near a Former Wood Treatment Facility" *Environmental Research*. 105, pp 194-197.

**Rosenfeld, P.E.**, J. J. J. Clark, A. R. Hensley, M. Suffet. (2007) "The Use of an Odor Wheel Classification for Evaluation of Human Health Risk Criteria for Compost Facilities" –*Water Science & Technology* 55(5): 345-357.

**Rosenfeld, P. E.**, M. Suffet. (2007) "The Anatomy Of Odour Wheels For Odours Of Drinking Water, Wastewater, Compost And The Urban Environment " *Water Science & Technology* 55(5): 335-344.

Sullivan, P. J. Clark, J.J.J., Agardy, F. J., **Rosenfeld, P.E.**, (2007) "Toxic Legacy, Synthetic Toxins in the Food, Water, and Air in American Cities," Elsevier Publishing, Boston Massachusetts.

**Rosenfeld P.E.**, and Suffet, I.H. (Mel) (2007) "Anatomy Of An Odor Wheel" *Water Science and Technology*, In Press.

**Rosenfeld, P.E.**, Clark, J.J.J., Hensley A.R., Suffet, I.H. (Mel) (2007) "The use of an odor wheel classification for evaluation of human health risk criteria for compost facilities." *Water Science And Technology*, In Press.

Hensley A.R., Scott, A., **Rosenfeld P.E.**, Clark, J.J.J. (2006) "Dioxin Containing Attic Dust And Human Blood Samples Collected Near A Former Wood Treatment Facility." The 26th International Symposium on Halogenated Persistent Organic Pollutants – DIOXIN2006, August 21 – 25, 2006. Radisson SAS Scandinavia Hotel in Oslo Norway.



**Rosenfeld, P.E.,** and Suffet I.H. (2004) "Control of Compost Odor Using High Carbon Wood Ash", Water Science and Technology, Vol. 49, No. 9. pp. 171-178.

**Rosenfeld, P.E.,** Clark J. J. and Suffet, I.H. (2004) "Value of and Urban Odor Wheel." (2004). WEFTEC 2004. New Orleans, October 2 - 6, 2004.

**Rosenfeld, P.E.,** and Suffet, I.H. (2004) "Understanding Odorants Associated With Compost, Biomass Facilities, and the Land Application of Biosolids" Water Science and Technology. Vol. 49, No. 9. pp 193-199.

**Rosenfeld, P.E.,** and Suffet I.H. (2004) "Control of Compost Odor Using High Carbon Wood Ash", Water Science and Technology, Vol. 49, No. 9. pp. 171-178.

**Rosenfeld, P. E.,** Grey, M. A., Sellow, P. (2004) Measurement of Biosolids Odor and Odorant Emissions from Windrows, Static Pile and Biofilter. Water Environment Research. 76 (4): 310-315 JUL-AUG 2004.

**Rosenfeld, P. E.,** Grey, M., (2003) Two stage biofilter for biosolids composting odor control. Seventh International In Situ And On Site Bioremediation Symposium. Batelle Conference Orlando Florida. June 2 and June 6, 2003.

**Rosenfeld, P.E.,** Grey, M and Suffet, M. 2002. "Controlling Odors Using High Carbon Wood Ash." Biocycle, March 2002, Page 42.

**Rosenfeld, P.E.,** Grey, M and Suffet, M. (2002). "Compost Demonstration Project, Sacramento, California Using High-Carbon Wood Ash to Control Odor at a Green Materials Composting Facility Integrated Waste Management Board Public Affairs Office, Publications Clearinghouse (MS-6), Sacramento, CA Publication #442-02-008. April 2002.

**Rosenfeld, P.E.,** and C.L. Henry. 2001. Characterization of odor emissions from three different biosolids. Water Soil and Air pollution. Vol. 127 Nos. 1-4, pp. 173-191.

**Rosenfeld, P.E.,** and Henry C. L., 2000. Wood ash control of odor emissions from biosolids application. Journal of Environmental Quality. 29:1662-1668.

**Rosenfeld, P.E.,** C.L. Henry and D. Bennett. 2001. Wastewater dewatering polymer affect on biosolids odor emissions and microbial activity. Water Environment Research. 73: 363-367.

**Rosenfeld, P.E.,** and C.L. Henry. 2001. Activated Carbon and Wood Ash Sorption of Wastewater, Compost, and Biosolids Odorants Water Environment Research, 73: 388-392.

**Rosenfeld, P.E.,** and Henry C. L., 2001. High carbon wood ash effect on biosolids microbial activity and odor. Water Environment Research. Volume 131 No. 1-4, pp. 247-262.

**Rosenfeld, P.E.,** C.L. Henry, R. Harrison. 1998. Oat and Grass Seed Germination and Nitrogen and Sulfur Emissions Following Biosolids Incorporation With High-Carbon Wood-Ash. Water Environment Federation 12th Annual Residuals and Biosolids Management Conference Proceedings. Bellevue Washington.

Chollack, T. and **P. Rosenfeld.** 1998. Compost Amendment Handbook For Landscaping. Prepared for and distributed by the City of Redmond, Washington State.

**P. Rosenfeld.** 1992. The Mount Liamuiga Crater Trail. Heritage Magazine of St. Kitts, Vol. 3 No. 2.

**P. Rosenfeld.** 1993. High School Biogas Project to Prevent Deforestation On St. Kitts. Biomass Users Network, Vol. 7, No. 1, 1993.

**P. Rosenfeld.** 1992. British West Indies, St. Kitts. Surf Report, April issue.

**P. Rosenfeld.** 1998. Characterization, Quantification, and Control of Odor Emissions From Biosolids Application To Forest Soil. Doctoral Thesis. University of Washington College of Forest Resources.

**P. Rosenfeld.** 1994. Potential Utilization of Small Diameter Trees On Sierra County Public Land. Masters thesis reprinted by the Sierra County Economic Council. Sierra County, California.

**P. Rosenfeld.** 1991. How to Build a Small Rural Anaerobic Digester & Uses Of Biogas In The First And Third World. Bachelors Thesis. University of California.

England Environmental Agency, 2002. Landfill Gas Control Technologies. Publishing Organization Environment Agency, Rio House, Waterside Drive, Aztec West, Almondsbury BRISTOL, BS32 4UD.

### **Presentations**

Sok, H.L.; Waller, C.C.; Feng, L.; Gonzalez, J.; Sutherland, A.J.; Wisdom-Stack, T.; Sahai, R.K.; Hesse, R.C.; **Rosenfeld, P.E.** "Atrazine: A Persistent Pesticide in Urban Drinking Water." Urban Environmental Pollution, Boston, MA, June 20-23, 2010.

Feng, L.; Gonzalez, J.; Sok, H.L.; Sutherland, A.J.; Waller, C.C.; Wisdom-Stack, T.; Sahai, R.K.; La, M.; Hesse, R.C.; **Rosenfeld, P.E.** "Bringing Environmental Justice to East St. Louis, Illinois." Urban Environmental Pollution, Boston, MA, June 20-23, 2010.

**Rosenfeld, P.E.** (2009) "Perfluorooctanoic Acid (PFOA) and Perfluorooctane Sulfonate (PFOS) Contamination in Drinking Water From the Use of Aqueous Film Forming Foams (AFFF) at Airports in the United States" Presentation at the 2009 Ground Water Summit and 2009 Ground Water Protection Council Spring Meeting, April 19-23, 2009. Tuscon, AZ.

**Rosenfeld, P.E.** (2009) "Cost to Filter Atrazine Contamination from Drinking Water in the United States" Contamination in Drinking Water From the Use of Aqueous Film Forming Foams (AFFF) at Airports in the United States" Presentation at the 2009 Ground Water Summit and 2009 Ground Water Protection Council Spring Meeting, April 19-23, 2009. Tuscon, AZ.

**Rosenfeld, P. E.** (2007) "Moss Point Community Exposure To Contaminants From A Releasing Facility" Platform Presentation at the 23<sup>rd</sup> Annual International Conferences on Soils Sediment and Water, October 15-18, 2007. University of Massachusetts, Amherst MA.

**Rosenfeld, P. E.** (2007) "The Repeated Trespass of Tritium-Contaminated Water Into A Surrounding Community Form Repeated Waste Spills From A Nuclear Power Plant" Platform Presentation at the 23<sup>rd</sup> Annual International Conferences on Soils Sediment and Water, October 15-18, 2007. University of Massachusetts, Amherst MA.

**Rosenfeld, P. E.** (2007) "Somerville Community Exposure To Contaminants From Wood Treatment Facility Emissions" Poster Presentation at the 23<sup>rd</sup> Annual International Conferences on Soils Sediment and Water, October 15-18, 2007. University of Massachusetts, Amherst MA.

**Rosenfeld P. E.** "Production, Chemical Properties, Toxicology, & Treatment Case Studies of 1,2,3-Trichloropropane (TCP)" – Platform Presentation at the Association for Environmental Health and Sciences (AEHS) Annual Meeting, San Diego, CA, 3/2007.

**Rosenfeld P. E.** "Blood and Attic Sampling for Dioxin/Furan, PAH, and Metal Exposure in Floral, Alabama" – Platform Presentation at the AEHS Annual Meeting, San Diego, CA, 3/2007.

Hensley A.R., Scott, A., **Rosenfeld P.E.**, Clark, J.J.J. (2006) "Dioxin Containing Attic Dust And Human Blood Samples Collected Near A Former Wood Treatment Facility." APHA 134 Annual Meeting & Exposition, Boston Massachusetts. November 4 to 8<sup>th</sup>, 2006.

**Paul Rosenfeld Ph.D.** "Fate, Transport and Persistence of PFOA and Related Chemicals." Mealey's C8/PFOA Science, Risk & Litigation Conference" October 24, 25. The Rittenhouse Hotel, Philadelphia.

**Paul Rosenfeld Ph.D.** "Brominated Flame Retardants in Groundwater: Pathways to Human Ingestion, Toxicology and Remediation PEMA Emerging Contaminant Conference. September 19. Hilton Hotel, Irvine California.

**Paul Rosenfeld Ph.D.** "Fate, Transport, Toxicity, And Persistence of 1,2,3-TCP." PEMA Emerging Contaminant Conference. September 19. Hilton Hotel in Irvine, California.

**Paul Rosenfeld Ph.D.** "Fate, Transport and Persistence of PDBEs." Mealey's Groundwater Conference. September 26, 27. Ritz Carlton Hotel, Marina Del Ray, California.

**Paul Rosenfeld Ph.D.** "Fate, Transport and Persistence of PFOA and Related Chemicals." International Society of Environmental Forensics: Focus On Emerging Contaminants. June 7,8. Sheraton Oceanfront Hotel, Virginia Beach, Virginia.

**Paul Rosenfeld Ph.D.** "Rate Transport, Persistence and Toxicology of PFOA and Related Perfluorochemicals". 2005 National Groundwater Association Ground Water And Environmental Law Conference. July 21-22, 2005. Wyndham Baltimore Inner Harbor, Baltimore Maryland.

**Paul Rosenfeld Ph.D.** "Brominated Flame Retardants in Groundwater: Pathways to Human Ingestion, Toxicology and Remediation." 2005 National Groundwater Association Ground Water And Environmental Law Conference. July 21-22, 2005. Wyndham Baltimore Inner Harbor, Baltimore Maryland.

**Paul Rosenfeld, Ph.D.** and James Clark Ph.D. and Rob Hesse R.G. Tert-butyl Alcohol Liability and Toxicology, A National Problem and Unquantified Liability. National Groundwater Association. Environmental Law Conference. May 5-6, 2004. Congress Plaza Hotel, Chicago Illinois.

**Paul Rosenfeld, Ph.D.**, 2004. Perchlorate Toxicology. Presentation to a meeting of the American Groundwater Trust. March 7<sup>th</sup>, 2004. Pheonix Arizona.

Hagemann, M.F., **Paul Rosenfeld, Ph.D.** and Rob Hesse, 2004. Perchlorate Contamination of the Colorado River. Invited presentation to a meeting of tribal representatives, Parker, AZ.

**Paul Rosenfeld, Ph.D.** A National Damage Assessment Model For PCE and Dry Cleaners. Drycleaner Symposium. California Ground Water Association. Radison Hotel, Sacramento, California. April 7, 2004.

**Paul Rosenfeld, Ph.D.** and James Clark Ph.D. Understanding Historical Use, Chemical Properties, Toxicity and Regulatory Guidance of 1,4 Dioxane. National Groundwater Association. Southwest Focus Conference. Water Supply and Emerging Contaminants. February 20-21, 2003. Hyatt Regency Phoenix Arizona.

**Paul Rosenfeld, Ph.D.** Underground Storage Tank Litigation and Remediation. California CUPA Forum. Marriott Hotel. Anaheim California. February 6-7, 2003.

**Paul Rosenfeld, Ph.D.** Underground Storage Tank Litigation and Remediation. EPA Underground Storage Tank Roundtable. Sacramento California. October 23, 2002.

**Rosenfeld, P.E.** and Suffet, M. 2002. Understanding Odor from Compost, Wastewater and Industrial Processes. Sixth Annual Symposium On Off Flavors in the Aquatic Environment. International Water Association. Barcelona Spain. October 7- 10.

**Rosenfeld, P.E.** and Suffet, M. 2002. Using High Carbon Wood Ash to Control Compost Odor. Sixth Annual Symposium On Off Flavors in the Aquatic Environment. International Water Association. Barcelona Spain. October 7- 10.

**Rosenfeld, P.E.** and Grey, M. A. 2002. Biocycle Composting For Coastal Sage Restoration. Northwest Biosolids Management Association. Vancouver Washington. September 22-24.

**Rosenfeld, P.E.** and Grey, M. A. 2002. Soil Science Society Annual Conference. Indianapolis, Maryland. November 11-14.

**Rosenfeld, P.E.** 2000. Two stage biofilter for biosolids composting odor control. Water Environment Federation. Anaheim California. September 16, 2000.

**Rosenfeld, P. E. 2000.** Wood ash and biofilter control of compost odor. Biofest. October 16, 2000. Ocean Shores, California.

**Rosenfeld, P. E. 2000.** Bioremediation Using Organic Soil Amendments. California Resource Recovery Association. Sacramento California.

**Rosenfeld, P.E., C.L. Henry, R. Harrison.** 1998. Oat and Grass Seed Germination and Nitrogen and Sulfur Emissions Following Biosolids Incorporation With High-Carbon Wood-Ash. Water Environment Federation 12th Annual Residuals and Biosolids Management Conference Proceedings. Bellevue Washington.

**Rosenfeld, P.E., and C.L. Henry.** 1999. An evaluation of ash incorporation with biosolids for odor reduction. Soil Science Society of America. Salt Lake City Utah.

**Rosenfeld, P.E., C.L. Henry, R. Harrison.** 1998. Comparison of Microbial Activity and Odor Emissions from Three Different Biosolids Applied to Forest Soil. Brown and Caldwell, Seattle Washington.

**Rosenfeld, P.E., C.L. Henry.** 1998. Characterization, Quantification, and Control of Odor Emissions from Biosolids Application To Forest Soil. Biofest Lake Chelan, Washington.

**Rosenfeld, P.E., C.L. Henry, R. B. Harrison, and R. Dills.** 1997. Comparison of Odor Emissions From Three Different Biosolids Applied to Forest Soil. Soil Science Society of America, Anaheim California.

### **Professional History**

Soil Water Air Protection Enterprise (SWAPE); 2003 to present; Founding And Managing Partner  
UCLA School of Public Health; 2007 to 2010; Lecturer (Asst Res)  
UCLA School of Public Health; 2003 to 2006; Adjunct Professor  
UCLA Environmental Science and Engineering Program; 2002-2004; Doctoral Intern Coordinator  
UCLA Institute of the Environment, 2001-2002; Research Associate  
Komex H<sub>2</sub>O Science, 2001 to 2003; Senior Remediation Scientist  
National Groundwater Association, 2002-2004; Lecturer  
San Diego State University, 1999-2001; Adjunct Professor  
Anteon Corp., San Diego, 2000-2001; Remediation Project Manager  
Ogden (now Amec), San Diego, 2000-2000; Remediation Project Manager  
Bechtel, San Diego, California, 1999 – 2000; Risk Assessor  
King County, Seattle, 1996 – 1999; Scientist  
James River Corp., Washington, 1995-96; Scientist  
Big Creek Lumber, Davenport, California, 1995; Scientist  
Plumas Corp., California and USFS, Tahoe 1993-1995; Scientist  
Peace Corps and World Wildlife Fund, St. Kitts, West Indies, 1991-1993; Scientist  
Bureau of Land Management, Kremmling Colorado 1990; Scientist

### Teaching Experience

**UCLA Department of Environmental Health (Summer 2003 through 2010)** Taught Environmental Health Science 100 to students, including undergrad, medical doctors, public health professionals and nurses. Course focuses on the health effects of environmental contaminants.

**National Ground Water Association, Successful Remediation Technologies.** Custom Course In Sante Fe, New Mexico. May 21, 2002. Focused on fate and transport of fuel contaminants associated with underground storage tanks.

**National Ground Water Association; Successful Remediation Technologies** Course in Chicago Illinois. April 1, 2002. Focused on fate and transport of contaminants associated with Superfund and RCRA sites.

**California Integrated Waste Management Board,** April and May, 2001. Alternative Landfill Caps Seminar in San Diego, Ventura, and San Francisco. Focused on both prescriptive and innovative landfill cover design.

**UCLA Department of Environmental Engineering,** February 5 2002 Seminar on Successful Remediation Technologies focusing on Groundwater Remediation.

**University Of Washington, Soil Science Program,** Teaching Assistant for several courses including: Soil Chemistry, Organic Soil Amendments, and Soil Stability.

**U.C. Berkeley, Environmental Science Program** Teaching Assistant for Environmental Science 10.

### Academic Grants Awarded

**California Integrated Waste Management Board.** \$41,000 grant awarded to UCLA Institute of the Environment. Goal: To investigate effect of high carbon wood ash on volatile organic emissions from compost. 2001.

**Synagro Technologies, Corona California:** \$10,000 grant awarded to San Diego State University. Goal: investigate effect of biosolids for restoration and remediation of degraded coastal sage soils. 2000.

**King County, Department of Research and Technology, Washington State.** \$100,000 grant awarded to University of Washington: Goal: To investigate odor emissions from biosolids application and the effect of polymers and ash on VOC emissions. 1998.

**Northwest Biosolids Management Association, Washington State.** \$20,000 grant awarded to investigate effect of polymers and ash on VOC emissions from biosolids. 1997.

**James River Corporation, Oregon:** \$10,000 grant was awarded to investigate the success of genetically engineered Poplar trees with resistance to round-up. 1996.

**United State Forest Service, Tahoe National Forest:** \$15,000 grant was awarded to investigating fire ecology of the Tahoe National Forest. 1995.

**Kellogg Foundation, Washington D.C.** \$500 grant was awarded to construct a large anaerobic digester on St. Kitts in West Indies. 1993.

**Cases that Dr. Rosenfeld Provided Deposition or Trial Testimony**

- In the Court of Common Pleas of Tuscarawas County Ohio  
John Michael Abicht, et al., *Plaintiffs*, vs. Republic Services, Inc., et al., *Defendants*  
Case Number: 2008 CT 10 0741 (Cons. w/ 2009 CV 10 0987)
- In the Court of Common Pleas for the Second Judicial Circuit, State of South Carolina, County of Aiken  
David Anderson, et al., *Plaintiffs*, vs. Norfolk Southern Corporation, et al., *Defendants*.  
Case Number: 2007-CP-02-1584
- In the Circuit Court of Jefferson County Alabama  
Jaeanette Moss Anthony, et al., *Plaintiffs*, vs. Drummond Company Inc., et al., *Defendants*  
Civil action No. CV 2008-2076
- In the Ninth Judicial District Court, Parish of Rapides, State of Louisiana  
Roger Price, et al., *Plaintiffs*, vs. Roy O. Martin, L.P., et al., *Defendants*.  
Civil Suit Number 224,041 Division G
- In the United States District Court, Western District Lafayette Division  
Ackle et al., *Plaintiffs*, vs. Citgo Petroleum Corporation, et al., *Defendants*.  
Case Number 2:07CV1052
- In the United States District Court for the Southern District of Ohio  
Carolyn Baker, et al., *Plaintiffs*, vs. Chevron Oil Company, et al., *Defendants*.  
Case Number 1:05 CV 227
- In the Fourth Judicial District Court, Parish of Calcasieu, State of Louisiana  
Craig Steven Arabie, et al., *Plaintiffs*, vs. Citgo Petroleum Corporation, et al., *Defendants*.  
Case Number 07-2738 G
- In the Fourteenth Judicial District Court, Parish of Calcasieu, State of Louisiana  
Leon B. Brydels, *Plaintiffs*, vs. Conoco, Inc., et al., *Defendants*.  
Case Number 2004-6941 Division A
- In the District Court of Tarrant County, Texas, 153<sup>rd</sup> Judicial District  
Linda Faust, *Plaintiff*, vs. Burlington Northern Santa Fe Rail Way Company, Witco Chemical Corporation  
A/K/A Witco Corporation, Solvents and Chemicals, Inc. and Koppers Industries, Inc., *Defendants*.  
Case Number 153-212928-05
- In the Superior Court of the State of California in and for the County of San Bernardino  
Leroy Allen, et al., *Plaintiffs*, vs. Nutro Products, Inc., a California Corporation and DOES 1 to 100,  
inclusive, *Defendants*.  
John Loney, Plaintiff, vs. James H. Didion, Sr.; Nutro Products, Inc.; DOES 1 through 20, inclusive,  
*Defendants*.  
Case Number VCVVS044671
- In the United States District Court for the Middle District of Alabama, Northern Division  
James K. Benefield, et al., *Plaintiffs*, vs. International Paper Company, *Defendant*.  
Civil Action Number 2:09-cv-232-WHA-TFM
- In the Superior Court of the State of California in and for the County of Los Angeles  
Leslie Hensley and Rick Hensley, *Plaintiffs*, vs. Peter T. Hoss, as trustee on behalf of the Cone Fee Trust;  
Plains Exploration & Production Company, a Delaware corporation; Rayne Water Conditioning, Inc., a  
California corporation; and DOES 1 through 100, *Defendants*.  
Case Number SC094173

In the Superior Court of the State of California in and for the County of Santa Barbara, Santa Maria Branch Clifford and Shirley Adelhelm, et al., all individually, *Plaintiffs*, vs. Unocal Corporation, a Delaware Corporation; Union Oil Company of California, a California corporation; Chevron Corporation, a California corporation; ConocoPhillips, a Texas corporation; Kerr-McGee Corporation, an Oklahoma corporation; and DOES 1 through 100, *Defendants*.  
Case Number 1229251 (Consolidated with case number 1231299)

In the United States District Court for Eastern District of Arkansas, Eastern District of Arkansas Harry Stephens Farms, Inc, and Harry Stephens, individual and as managing partner of Stephens Partnership, *Plaintiffs*, vs. Helena Chemical Company, and Exxon Mobil Corp., successor to Mobil Chemical Co., *Defendants*.  
Case Number 2:06-CV-00166 JMM (Consolidated with case number 4:07CV00278 JMM)

In the United States District Court for the Western District of Arkansas, Texarkana Division Rhonda Brasel, et al., *Plaintiffs*, vs. Weyerhaeuser Company and DOES 1 through 100, *Defendants*.  
Civil Action Number 07-4037

In The Superior Court of the State of California County of Santa Cruz  
Constance Acevedo, et al. *Plaintiffs* Vs. California Spray Company, et al. *Defendants*  
Case No CV 146344

In the District Court of Texas 21<sup>st</sup> Judicial District of Burleson County  
Dennis Davis, *Plaintiff*, vs. Burlington Northern Santa Fe Rail Way Company, *Defendant*.  
Case Number 25,151

In the United States District Court of Southern District of Texas Galveston Division  
Kyle Cannon, Eugene Donovan, Genaro Ramirez, Carol Sassler, and Harvey Walton, each Individually and on behalf of those similarly situated, *Plaintiffs*, vs. BP Products North America, Inc., *Defendant*.  
Case 3:10-cv-00622

## Letter F

### Adams Broadwell Joseph & Cardozo

August 14, 2020

**F.1** This comment is an introductory comment and provides a general summary of the proposed project's characteristics. This comment does not raise a specific issue related to the adequacy of the Draft EIR; therefore, no further response is required, and the comment is noted for the record.

**F.2** This comment provides an introductory summary of the more specific comments provided in the comment letter. This summary does not provide any details on the specific issues previewed.

Based on review of the substance of the Draft EIR and responses to these comments, the County disagrees that revision and recirculation of the Draft EIR is necessary.

The introduction and overview provided in this comment regarding the adequacy of the Draft EIR is acknowledged. However, this comment does not provide any specific information regarding the manner in which the Draft EIR is inadequate or how the Draft EIR fails to meet CEQA requirements. Please refer to responses to comments below, including, but not limited to, responses F.6 through F.58 for additional detailed responses to each of the individual comments.

Under CEQA, recirculation is only required when the lead agency adds "significant new information" to an EIR after the public comment period and prior to certification of the EIR (*Laurel Heights Improvement Association v. Regents of the University of California* [1993] 6 Cal. 4th 1112, 1128). "Information" can include changes in the project or environmental setting as well as additional data or other information (CEQA Guidelines Section 15088.5[a]). In addition, CEQA does not require revisions to the analysis based upon argument, speculation, or unsubstantial opinion (CEQA Guidelines Section 15064[f][5]). No comments received in this comment letter result in any new impact or change in the significance level of impacts disclosed in the Draft EIR, or the require new mitigation, consideration of new alternatives, or any other substantial change to the Draft EIR. Therefore, recirculation of the Draft EIR is not required.

This comment does not raise any other specific issues related to the adequacy of the Draft EIR; therefore, no further response is required.

**F.3** The proffered qualifications of the comment preparers and the attached letters are noted. This specific comment does not provide any specific or substantive comments or concerns regarding the adequacy of the Draft EIR; therefore, no further response is necessary. Please see also responses to comments F.6 through F.58.

**F.4** The overview of the Citizens for Responsible Solar (Citizens) organization and the concerns related to solar projects is noted. This comment does not raise a specific issue related to the adequacy of the Draft EIR, therefore, no further response is required.

**F.5** This comment provides a "Legal Background", an overview summary of the purpose and requirements of CEQA. This comment does not raise a specific issue related to the adequacy of the Draft EIR, therefore, no further response is required.

**F.6** This comment states that the Draft EIR fails to properly disclose, analyze, and mitigate the project's significant impacts on biological resources, air quality, public health, and climate change. The comment also states that some of the proposed mitigation measures fail to mitigate the impact to a less than significant level or to the degree purported by the Draft EIR, and that some mitigation measure. Comments specific to each topic are addressed in the response to comments. The comment has been noted for the record and revisions to the Draft EIR are not necessary.

The County disagrees with the assertion that the Draft EIR fails to consider all of the project's potentially significant effects, including those referenced in this comment – biological resources, air quality, public health, and climate change. Please refer to responses to comments below, including but not limited to responses F.6 through F.58.

Additionally, this comment states that the Project's impacts are not supported by substantial evidence. The commenter does not provide specifics regarding where the analysis in the Draft EIR is purportedly inadequate. The County complied with CEQA and provided substantial evidence, as defined by the CEQA Guidelines Section 15384(a)(b). Argument, speculation, unsubstantiated opinion or narrative, evidence that is inaccurate or erroneous, or evidence that is not credible shall not constitute substantial evidence. The analysis and conclusions within the Draft EIR were supported by relevant information and technical studies prepared by experts. The analysis related to the commenters identified topics specifically by this comment and elsewhere in the comments (including but not limited to biological resources, air quality, public health, and climate change) are addressed within the Draft EIR, prepared by HDR, and supported by technical studies prepared by Stantec Consulting Services (Stantec). These reports were therefore, prepared by experts, provide substantial evidence, and are available to aid decision-makers as they consider the merits of the Project.

**F.7** This comment summarizes more specific comments provided in, and responded to in responses F.8 through F.24g. The comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary.

**F.8** The overview of the requirements under CEQA for the existing environmental setting is acknowledged. The comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary.

**F.9** The overview of the requirements under CEQA for the existing environmental setting is acknowledged. The comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary.

**F.10** The commenter states that the terms used for the baseline study are not defined. The commenter is referred to the second and third paragraphs in Section 2.21 of Appendix E of the Draft EIR that detail the habitat assessment and reconnaissance-level survey procedures.

The commenter further states no protocol level surveys were performed for desert tortoise or burrowing owl. Biologists performed a reconnaissance-level survey as detailed in Appendix E, Section 2.21. The reconnaissance-level survey was conducted instead of species specific protocol-level surveys to initially "identify and assess habitat that may be capable of supporting special-status wildlife species and to document the presence/absence of special-status biological resources." For species-specific

surveys, the commenter is referred to Mitigation Measure BIO-4 that states, “A qualified biologist shall conduct focused presence/absence surveys for Desert Tortoise for 100-percent of the project footprint pursuant to the October 19, 2019 Version of the USFWS Desert Tortoise Survey Protocol.” and Mitigation Measure BIO-6 that states “Take Avoidance (pre-construction) surveys for burrowing owl shall be completed prior to project construction. Surveys shall be conducted as detailed within Appendix D of the Staff Report on Burrowing Owl Mitigation (California Department of Fish and Game [CDFG] 2012).” The commenter is also referred to the Stantec letter to The County dated August 4, 2020 that further outlines the compensatory mitigation for desert tortoise (see comment Letter E).

The commenter’s assertions suggest that CEQA requires additional studies until all uncertainty regarding existing environmental conditions or a project’s impacts thereon have been removed. This is incorrect. As the California Supreme Court has emphasized, an EIR need not achieve “technical perfection or scientific certainty.” *Sierra Club v. County of Fresno* (2018) 6 Cal.5th 502, 515. Instead, CEQA requires “adequacy, completeness, and a good-faith effort at full disclosure.” CEQA Guidelines § 15003(i). The appropriate degree of specificity and analysis a given issue warrants depends on “the nature of the project and the rule of reason.” *North Coast Rivers Alliance v. Kawamura* (2015) 243 Cal.App.4th 647, 679; see also CEQA Guidelines Section 15151 (“An evaluation of the environmental effects of a proposed project need not be exhaustive, but the sufficiency of an EIR is to be reviewed in the light of what is reasonably feasible.”). “CEQA does not require a lead agency to conduct every recommended test and perform all recommended research to evaluate the impacts of a proposed project. The fact that additional studies might be helpful does not mean that they are required.” *Ass’n of Irrigated Residents v. Cty. of Madera*, (2003) 107 Cal. App. 4th 1383, 1396, 133 Cal. Rptr. 2d 718. In addition, see responses to comments F.11-F.14, among others. Otherwise, the comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary.

**F.11** The commenter states that a survey cited in the Draft EIR found the flat-tailed horned lizard to occur in the Project area as well as the loggerhead shrike. The commenter further states that the survey completed by Stantec did not report or properly characterize the loggerhead shrike species and, subsequently, the Draft EIR did not analyze the species’ likelihood to occur in the Project site. The loggerhead shrike occurrence in the Appendix F of the Draft EIR is listed as being observed in or near the Project site, therefore, since the observation was not expressly stated as being within the Project site the species was listed with a moderate potential to occur both in Section 5.4 of Appendix E of the Draft EIR and Section 3.4 of the Draft EIR. The commenter is referred to the Mitigation Measure BIO-7 which outlines the pre-construction nesting bird surveys that would also include nesting loggerhead shrike observed within and 500 feet surrounding the impact areas. The project site was properly characterized in the Draft EIR. Otherwise, the comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary.

**F.12** The commenter states that the Draft EIR only addresses 28 species, while subsequent data review performed by a Mr. Smallwood concluded there are 91 special-status species with a potential to occur near the Project site. Please refer to response to comment F.14, which discusses the inclusion of special-status species in the Draft EIR. Mr. Smallwood’s assertions are also addressed in response to comments F.59 through F.73. The comment is noted, and no further response is necessary.

- F.13** In relation to response to comment F.12, the commenter asserts that the Draft EIR does not adequately analyze all special status species with the potential to occur in the Project area and provides evidence in the form of a table in the following comment. The commenters concerns are addressed in response to comment F.14. The cross reference to Section III(A)(3) is noted and addressed in responses to comments F.20 through F.24a-h below. The comment is noted, and no further response is necessary.
- F.14** The commenter provides a table of special-status species with a potential to occur in the Project area (91 total species). Special-status species with a potential to occur in the vicinity of the Project area were reviewed using various databases (as outlined in Section 2.1 of Appendix E of the Draft EIR) and are listed in the tables in Section 5.3 and 5.4 of Appendix E of the Draft EIR. These tables identifying the potential presence of special-status species were then used as a screening tool to determine which potential special-status species could occur within the Project area. Field surveys were then conducted within the Project site to determine the ground-truth of potential special-status species to occur within the Project area. Based on several factors, including lack of suitable habitat present within the Project area, Project area occurring outside known geographic and/or elevation range of species, and the results of desktop data review, the special-status species with a potential to be impacted by the Project were then developed and analyzed in the Draft EIR. The additional species provided by the commenter are acknowledged, however, these species do not have the potential to occur based on survey results and data review, provided in Appendix E of the Draft EIR. The comment is noted, and no further response is necessary.
- F.15** The comment regarding the purported failure of the Draft EIR to adequately analyze impacts on special status species is acknowledged. This is an introductory comment, and subsequent comments are provided in an effort to support this claim. Please refer to responses to comments F.16 through F.26 which address the specific comments.
- F.16** The comment regarding fatality rates for burrowing owls and the conclusions in the Draft EIR regarding this analysis is acknowledged. As discussed in the Draft EIR, burrowing owls were not identified during surveys, however, occurrence data for burrowing owls occurs within one mile of the Project site and suitable nesting and foraging habitat occurs within the Project site. The Draft EIR further states that the high visibility of solar panels reduces the potential for avian collisions and any burrowing owls present in the area would likely utilize the fencing as perches, rather than collide with the fencing at the perimeter of the site. The source provided by the commenter relies on the assumption that burrowing owls would collide with PV solar panels after losing their habitat. Because the Project would not result in substantial loss to burrowing owl habitat and since there is suitable habitat in the surrounding landscape, the potential for collisions as a result of the new solar panels would be limited. Mr. Smallwood's methodologies and predictions are acknowledged but not affirmed. Otherwise, the comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary.
- F.17** The commenter states that habitat loss is not adequately analyzed in the Draft EIR. The Draft EIR discusses habitat loss on pages 3.4-25 through 3.4-29. Additionally, Mitigation Measures BIO-1 through BIO-9 are proposed which would to avoid and minimize potential impacts to special-status species to a less than significant level. Specifically, habitat related to special-status bird species is discussed on Draft EIR page 3.4-28. The Draft EIR states that 115.6 acres of potential suitable foraging habitat would be lost as a result of the Project. This loss would represent less than 0.0003 percent of available habitat in the area. A less than 0.0003 percent loss of habitat does not represent a significant impact related to special-status bird species. Additionally,

the commenter states that cumulative impacts related to habitat loss were not discussed in the Draft EIR. The commenter is referred to Draft EIR pages 5-9 and 5-10 which adequately discuss cumulative impacts related to biological resources, and habitat loss, specifically. Smallwood's methodologies and predictions are acknowledged but not affirmed. Otherwise, the comment does not provide any specific comments or concerns regarding the environmental setting in the Draft EIR; therefore, no further response is necessary. Therefore, no further response is necessary on this topic.

**F.18** The comment states that the Draft EIR does not adequately address wildlife movement and provides evidence as to why the Draft EIR does not adequately address this topic. The Project site and immediately surrounding area currently includes features that could block and hinder the movement of wildlife including features such as canals, transmission lines, an access road, paved and unpaved roads, and a residence. Additionally, there are numerous waterways, which when flowing, would prevent small species from moving through the Project site. The Project site in its pre-project, baseline condition is fragmented and only includes a small portion of important habitat which is surrounded by larger expanses of developed areas. Further a similar, large expanse of habitat occurs to the east of the Project site, which would provide a larger, more useful swath of land that would likely be used for wildlife movement through the area. Therefore, the analysis related to wildlife movement within the Draft EIR and the related conclusions are adequate. The comment is noted, and no further response is necessary.

**F.19** The commenter states that the Draft EIR does not adequately address the cumulative impact of collision fatalities and loss of breeding capacity due to habitat loss. The CEQA Guidelines Section 15130(b) provides the following parameters relative to cumulative impact analysis: the discussion of cumulative impacts shall reflect the severity of the impacts and their likelihood of occurrence, but the discussion need not provide as great detail as is provided for the effects attributable to the project alone. The discussion should be guided by standards of practicality and reasonableness and should focus on the cumulative impact to which the identified related projects contribute, rather than the attributes of other projects which do not contribute to the cumulative impact. As discussed in Section 3.4 of the Draft EIR, the Project would not result in a significant impact related to collisions and loss of habitat with mitigation incorporated. Additionally, Mitigation Measures BIO-1 through BIO-9 also address the Project's potential impact which if not mitigated could have the potential to contribute incrementally to potential cumulative impacts. Therefore, the cumulative analysis in the Draft EIR (Section 5.3.3 of the Draft EIR) reflects this level of detail in the cumulative analysis. The cumulative analysis concludes that the Project would comply with the relevant laws, regulations, and guidelines pertaining to biological resources, thus the Project would not contribute to a cumulative biological resources impact. Compliance with laws, regulations, and guidelines is sufficient analysis and no further analysis or mitigation is required related to potential cumulative impacts. The comment is noted, and no further response is necessary.

**F.20** The commenter states that the Draft EIR fails to adequately mitigate impacts related to biological resources and does not include all feasible mitigation measures to reduce potential impacts to biological resources. This is an introductory comment, and subsequent comments are provided to support this claim. Please refer to responses to comments F.21 through F.24h for detailed responses to each of these comments. The comment is noted, and no further response is necessary.

**F.21** The commenter claims that the pre-construction mitigation measures included in the Draft EIR are not sufficient and that detection surveys should be included. Please refer

to Mitigation Measures BIO-1, BIO-4, BIO-6, BIO-7, and BIO-9 in the Draft EIR that detail the focused species surveys to be conducted. Specifically, Mitigation Measures BIO-4 and BIO-6 outline the agency survey protocols and guidelines to be used. Please also refer to Mitigation Measures BIO-2 and BIO-3 in the Draft EIR that outline additional measures to further reduce potential impacts to special-status biological resources including the requirement for a “Project Biologist who shall be responsible for overseeing compliance with protective measures for the biological resources during vegetation clearing and work activities within and adjacent to areas of native habitat.” Mr. Smallwood’s methodologies and predictions are acknowledged but not affirmed. See also response to comments E.2 and F.10. The comment is noted, and no further response is necessary.

**F.22**

The commenter reiterates claims addressed in the responses to comments above. The commenter states that the mitigation measures (Mitigation Measures BIO-2, BIO-3, and BIO-5 specifically) do not address potential avian collisions or habitat loss. Please refer to Mitigation Measure BIO-2 which specifically states that, “to reduce the potential indirect impact on migratory birds, bats and raptors, the project will comply with the Avian Powerline Interaction Committee (APLIC) 2012 Guidelines for overhead utilities, as appropriate, to minimize avian collisions with transmission facilities.”

Further, as discussed in the Draft EIR, avian collisions related to electrocution is not anticipated since the distance between energized components along the transmission lines is generally insufficient to present avian electrocution risk (Draft EIR page 3.4-28). Further, avian collisions with the solar panels or any ancillary facilities associated with the solar facility such as the gen-tie line would be reduced to a less than significant impact with implementation of Mitigation Measures BIO-5 and BIO-8. Therefore, with compliance with the provisions of the APLIC guidance as well as the requirements in Mitigation Measures BIO-5 and BIO-8, the project would result in a less than significant impact associated with avian collisions, and no additional mitigation measures would be required to further reduce potential impacts. Additionally, the habitat loss specifically related to special-status bird species associated with the project (see response to comment F.17), would represent less than 0.0003 percent loss when compared to the available habitat in the area, and therefore would not result in a potentially significant impact that would require mitigation. The comment is noted, and no further response is necessary.

**F.23**

The commenter states that Mitigation Measure BIO-8 is inadequate because it would defer the development of the Bird and Bat Conservation Strategy (BBCS) until after the Project is approved. The BBCS is not deferred. Mitigation Measure BIO-8 includes development of a BBCS and includes a list of components to be included in the BBCS as well as sufficient performance standards and requirements for the BBCS including; a description of the existing habitat and avian and bat species within the Project area, specifications for pre-construction and post-construction surveying and monitoring, and minimization and corrective actions necessary to avoid or minimize potential impacts to bird and bat species. Additionally, further reporting requirements and performance standards are included in the Mitigation, Monitoring, and Reporting Program which will be adopted as part of the project. The comment is noted, and no further response is necessary.

**F.24** The commenter claims eight identified mitigation measures that are not in Draft EIR must be considered and implemented by the County. The identification of mitigation measures is one of the purposes of CEQA. According to the CEQA Statute Section 21002, the procedures in CEQA are intended to “assist public agencies in identifying both the significant environmental effects of proposed projects and the feasible...mitigation measures which will avoid or substantially lessen such significant effects.”

There is no showing that the proposed mitigation measures will avoid or mitigate a possible significant effect of the project, as required by CEQA. Moreover, there is also no showing as to whether these proffered mitigation measures are required to mitigate a significant effect or that they are “feasible” as that term of art is defined in CEQA (Public Resource Code Section 21061.1; 14 CCR 15364.) Only feasible mitigation measures that reduce a potentially significant impact are required.

There are also constitutional limits on mitigation that can be imposed on a project that were defined by two U.S. Supreme Court rulings (*Dolan vs. City of Tigard*, and *Nollan vs. California Coastal Commission*). These rulings identify that mitigation must have both a nexus and rough proportionality to the impact caused by the project. The mitigation measures identified in the Draft EIR are in proportion to potential effects. No additional mitigation would be required to reduce or lessen potentially significant impacts further than the mitigation measures already proposed in the Draft EIR. Otherwise, the comment is noted, and no further response is necessary.

**F.24a** Detection Surveys – Please refer to Mitigation Measures BIO-1, BIO-4, BIO-6, BIO-7, and BIO-9 in the Draft EIR which include targeted species surveys including surveys following CDFW and USFWS guidelines and protocols. The comment is noted, and no further response is necessary.

**F.24b** Post-construction Monitoring of Project Impacts – Please refer to Mitigation Measure BIO-8 in the Draft EIR which states the “post-construction monitoring plan will be implemented and “will include a description of standardized carcass searches, scavenger rate (i.e., carcass removal) trials, searcher efficiency trials, and reporting.” The comment is noted, and no further response is necessary.

**F.24c** Behavior Surveys – Completion of behavior surveys is not necessary and would be outside of the scope of CEQA. CEQA requires that mitigation be included to avoid or lessen a project’s significant environmental impacts (CEQA Guidelines Section 15126.4[a]). Potential impacts related to birds and bat collisions have been adequately discussed and mitigation provided, where appropriate in the Draft EIR as discussed in these responses to comments. The comment is noted, and no further response is necessary.

**F.24d** Transparent Reporting – Biological monitoring and reporting is required by mitigation measures proposed in the Draft EIR. Specifically, please refer to Draft EIR Mitigation Measure BIO-8 which states that the “Monitoring results will be reviewed annually by the Applicant and the County of Imperial, in consultation with CDFW and [United States Forest Service] USFWS.” The comment is noted, and no further response is necessary.

**F.24e** Adequate Fatality Monitoring – Fatality monitoring and reporting is required by mitigation measures proposed in the Draft EIR. Specifically, please refer to Draft EIR Mitigation Measure BIO-8 which states the “post-construction monitoring plan will include a description of standardized carcass searches, scavenger rate (i.e., carcass

removal) trials, searcher efficiency trials, and reporting.” The comment is noted, and no further response is necessary.

**F.24f** County-Wide Assessment of Solar Impacts – Please refer to Draft EIR Mitigation Measure BIO-8 which states the “post-construction monitoring plan will include a description of standardized carcass searches, scavenger rate (i.e., carcass removal) trials, searcher efficiency trials, and reporting.” Also as required by Mitigation Measure BIO-8, “Monitoring results will be reviewed annually by the Applicant and the County of Imperial, in consultation with CDFW and USFWS.” Moreover, a project-specific EIR is not the appropriate forum for policy recommendations. The comment is noted, and no further response is necessary.

**F.24g** Implement Mitigation Measures with Sound Experimental Designs - CEQA requires that mitigation be included to avoid or lessen a project’s significant environmental impacts (CEQA Guidelines Section 15126.4[a]). Potential impacts related to birds and bat collisions have been adequately discussed and mitigation provided, where appropriate in the Draft EIR as discussed in these responses to comments. The comment is noted, and no further response is necessary.

**F.24h** Compensatory Mitigation – Please refer to Draft EIR Mitigation Measure BIO-10 which addresses compensatory mitigation for riparian woodland and ephemeral wash habitats. Please also refer to responses to comment Letter E which outlines the potential compensatory mitigation for desert tortoise, should live or active tortoise is detected on-site as part of pre-construction surveys. The comment is noted, and no further response is necessary.

**F.25** This introductory comment regarding the whether the Draft EIR adequately discloses, analyzes, and mitigates impacts on air quality and public health is noted. The comment further provides a summary list of reasons why the commenter believes the Draft EIR analysis is inadequate. Specific responses to these comments are provided in responses to comments F.26 through F.52. This comment does not otherwise raise a substantive issue regarding the content of the Draft EIR, and is noted for the record.

**F.26** The commenter states that since the project did not quantify emissions from construction and operations of the fiberoptic cable and gen-tie line, and that as a result the Draft EIR’s conclusion of a less than significant impacts for air quality is unsupported. The commenter is incorrect in both respects.

Draft EIR Table 3.3-8 clearly provides emissions estimates for construction of the gen-tie line as part of the “Gen-Tie, Site Restoration” Phase of the Project (see, Table 3.3-8, “Gen-Tie, Site Restoration”). For emissions associated with construction of the gen-tie. Regarding emissions associated with the construction of the fiberoptic cable, Draft EIR page 3.7-15 states that installation of the fiberoptic cable would require substantially less construction equipment and a shorter duration compared to the construction of the solar energy facility and gen-tie line. Emissions estimates from those components are provided in Draft EIR Table 3.3-8. As stated in the Draft EIR, none of the project’s construction phases would exceed the ICAPCD daily construction thresholds. Therefore, because the fiberoptic cable installation phase would have less equipment than these phases, it is reasonable to conclude that the emissions associated with construction of the fiberoptic cable would also be below ICAPCD daily construction thresholds.

Draft EIR Table 3.3-9 provides emissions estimates for operation of the Project as a whole. As set forth in the Draft EIR, operational emissions from the Project are expected to occur from the minimal operations and maintenance activities needed for

the Project, of which the gen-tie line and fiberoptic cable are components. Emissions information for the Project during operations is provided in Table 3.3-9, and are based on the conservative assumption that four one-way worker trips per day would be generated for the Project, in addition to the daily trips associated with panel washing. (Draft EIR p. 3.3-15.) Therefore, estimated operational emissions from gen-tie line and fiberoptic cable have already been provided and analyzed as part of the overall operation of the Project. Based on this, the Draft EIR's conclusion that there are a less than significant impact with respect to regional air quality and air quality from construction and operations are supported by substantial evidence. Because potential operational emissions from the gen-tie line and fiberoptic cable were evaluated as part of the Draft EIR's analysis of the Project's potential impacts to air quality, there is no need to revise or recirculate the Draft EIR in response to this comment.

**F.27**

The comment states that the Project's CalEEMod input modifications were "not justified", and stated that operational emissions may have been underestimated because the inputs were based on a construction-related vehicle fleet mix, rather than an operational fleet mix. The comment does not provide evidence demonstrating, or otherwise assert, that a different operational fleet mix is more appropriate. The air quality modeling conducted for the Draft EIR air quality analysis did involve modifying the operational fleet mix consistent with CalEEMod methodology. In this case, the operational fleet mix was modified to accurately represent emissions from site inspection (maintenance) and panel washing worker vehicles traveling to the site during operations, which would be composed of light-duty autos and light-duty trucks. The reason that the modifications were based on a "construction-related vehicle fleet mix" is due to the default fleet mixes in CalEEMod. In CalEEMod, the default fleet mix for construction worker vehicle trips is a light-duty fleet mix consisting of light-duty autos and light-duty trucks. In contrast, the default operational fleet mix includes all possible vehicle types, such as: light-duty autos, light-duty trucks, light-heavy duty trucks, medium-duty vehicles, motorcycles, motor homes, urban buses, school buses, other buses, medium heavy-duty trucks, and heavy heavy-duty trucks. For this project, the default operational fleet mix does not accurately reflect the types of vehicles that can be reasonably expected during operations. Therefore, the operational fleet mix for maintenance worker vehicles was modified to reflect a fleet mix with light-duty autos and light-duty trucks. As a result, the Draft EIR correctly estimates anticipated operational impacts based on the likely operational vehicle fleet mix, and are appropriately relied upon to determine the significance of potential air quality impacts.

In response to the comment F.27, all worker and haul trucks for operations were re-modeled under the construction section of the operations CalEEMod output file, and included an operational fleet mix of light-duty autos and light-duty trucks, which best represents emissions from maintenance worker vehicles. The results of the requested re-modeling are provided in Response to Comments F.32, Tables 1 and 2. The modifications were again done consistent with CalEEMod methodology. While the additional modeling will be included in the Final EIR, this information is not significant because it does not demonstrate that a new significant impact would result from the project or that there is a substantial increase in the severity of an environmental impact. This addition to the Draft EIR merely amplifies the County's determination that potential air quality impacts from the Project will be less than significant. Therefore, there is no need to recirculate the Draft EIR in response to this comment.

**F.28**

The comment states that the Draft EIR did not include all operational emission values associated with the Project because specific land uses, the PV panels and substation facility, were not included in the CalEEMod output files. The comment states that as a result, the model underestimates operational emissions, and makes the Draft EIR's air quality analysis incorrect and incomplete.

The solar panel arrays and substation were not included in the operational emissions modeling because neither component, would result in emissions from consumer products, architectural coatings, landscaping, or consume natural gas and electricity, or generate waste. The project's operational emissions were appropriately based on mobile sources, offroad equipment, and water and wastewater conveyance, actual potential sources of emissions during operations. It should be noted that only GHG emissions are associated with water and wastewater conveyance, thus criteria pollutants would not result from this Project activity. As a result, the air emissions model included the correct inputs, did not underestimate anticipated operational emissions, and were appropriately relied upon by the County to analyze the Project's air quality impacts.

Further, as stated above in the response to comment F.27, the air emissions model was, as suggested by the CRS comments, re-run, and updated the operational modeling to be consistent with the construction CalEEMod land use categorization. The updated operational modeling includes a "General Light Industry" land use category with a size of 100 acres totaling 4,356,000 square feet. CalEEMod uses the area of the project to estimate operational emissions from area sources such as consumer products, architectural coatings and landscaping, mobile sources, natural gas combustion, electricity consumption, water and wastewater conveyance, waste generation, and offroad equipment.<sup>1</sup>

The emissions estimates are provided in Tables 1 and 2 presented further in response to comment F.32. As discussed in response to comment F.32, the suggested, updated modeling does not change the Draft EIR's significance conclusions regarding air quality impacts. While the additional modeling will be included in the Final EIR, this information is not significant because it does not demonstrate that a new significant impact would result from the project or that there is a substantial increase in the severity of an environmental impact. This addition to the Draft EIR merely amplifies the County's determination that potential air quality impacts from the Project will be less than significant. Therefore, there is no need to recirculate the Draft EIR in response to this comment.

#### **F.29**

The comment states that the Draft EIR underestimated the number of operational vehicle trips by 10 one-way trips for activities relating to routine maintenance activities such as panel washing. Comment F.29 states that the Draft EIR should have included 10 one-way trips per week in the modeling to account for routine maintenance activities. Comment F.78, which is cited as support for Comment F.29, states that the model should have included an additional 10 daily one-way trips in the modeling to account for routine maintenance activities. Both assertions are incorrect. As stated on Draft EIR p. 3.10-8, ten (10) one-way trips associated with routine maintenance activities such as panel washing are expected to occur over a total of 20 days per year, not on a weekly basis as stated in Comment F.29, and not on a daily basis, as stated in Comment F.78.

Appendix D to the Draft EIR evaluated operational vehicle trips in both the construction section and operations section of the CalEEMod output. Mobile trips related to panel washing events, which included 10 one way trips (4 additional workers trips and 6 haul truck trips) that would occur over a total of 20 days per year, were accounted for under the construction section of the operations CalEEMod output file. The operations output

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<sup>1</sup> CalEEMod does not allow users to zero out the number of days of landscaping, therefore landscaping emissions are shown in the CalEEMod output file, over-predicting potential effects, but the Project emissions summaries will not include them because the project would not include landscaping activities.

file included notes stating that panel washing activities were evaluated under the construction section. Therefore, emissions associated with vehicle trips during operations were appropriately analyzed in the Draft EIR and were not underestimated. There is no need to revise or recirculate the Draft EIR in response to this comment.

The comment also states that the Draft EIR failed to support changes to trip lengths and trip purposes, and made changes against the recommendations of the CalEEMod User's Guide. This is incorrect. The longest default trip length in CalEEMod for Imperial County operational trips is 8.9 miles, which was incorporated into the air quality modeling for the Project. Further, the trip purposes in CalEEMod were modified in the Draft EIR to provide a more conservative estimate of emissions. Trip purposes were modified to 100 percent primary trips because the only reason to travel to the site is for maintenance or panel washing activities. The CalEEMod User's Guide describes diverted trips as "diverted trips are assumed to take a slightly different path than a primary trip and are assumed to be 25% of the primary trip lengths." Additionally, the CalEEMod User's Guide defines pass-by trips as "Pass-by trips are assumed to be 0.1 mile in length and are a result of no diversion from the primary route." Based on this, categorizing trips as 100 percent primary would result in a conservative estimate of emissions compared to using the default CalEEMod trip purpose values.

Furthermore, as stated above in response to comment F.27, the air quality model was re-run. The model used a trip length of 10 miles, as local workers would be responsible for the Project's maintenance activities, which is an even more conservative estimate than the default CalEEMod trip length for Imperial County. As with the modeling presented in Draft EIR Appendix D, trip purposes were modified from the CalEEMod default of 25 percent to 100 percent primary trips because the only reason to travel to the site is for maintenance or panel washing activities.

As stated in response to comments F.30 through F.32, in the updated operational CalEEMod output files, mobile emission sources (workers and haul trucks) were estimated under the construction section of the operational output file. The updated modeling included 4 worker trips for site maintenance, 4 worker trips and 6 haul truck trips for panel washing events, and each had a trip length of 10 miles making it consistent with the previous modeling. As demonstrated in Tables 1 and 2, these modeling results confirm that potential operational emissions from the Project are below the ICAPCD operational thresholds; therefore, operational impacts would be less than significant.

While the additional modeling suggested will be included in the Final EIR, this information is not significant because it does not demonstrate that a new significant impact would result from the project or that there is a substantial increase in the severity of an environmental impact. This addition to the Draft EIR merely amplifies the County's determination that potential air quality impacts from the Project will be less than significant. Therefore, there is no need to recirculate the Draft EIR in response to this comment.

**F.30**

This comment states that the Draft EIR did not fully explain changes to the Project's construction and operational paved road percentages, and that the model contradicts the paved/unpaved roads presented in the Draft EIR. Notes explaining the assumptions and inputs were incorporated into CalEEMod, and are shown at Draft EIR, Appendix D, pages 100, 101, 115, 116, 130, 131. Further, the modeled percentages of 98% were an appropriate assumption at the time that the model was run.